

November 2024 Research Administration Forum Q&A

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Q1: Do waivers need to be requested for industry-sponsored Institutional Fellowships/Training awards if the Sponsor has a policy disallowing F&A on these fellowship awards? Example Bristol-Myers awards UCLA An Institutional Fellowship Award to a Mentor at UCLA and allows up to 3 appointees (fellows) for the award year. These are not individual fellowships made directly to the fellow.

A1: Yes, per the Contract & Grant Manual -- Under certain circumstances, an exception based on sponsor policy from a for-profit corporation or a foreign government may be considered for a legitimate, general University community service, scholars', or fellowship program sponsored by a for-profit corporation. The criteria for considering an exception for such a program would include: (1) the corporation has published an announcement calling for proposals under which grants would be awarded; (2) exceptions to University policies for the subject program, such as intellectual property language, are carefully considered and justified, specifically in light of the indirect cost rate exception, and approved by the appropriate University authority; and (3) the announcement does not require a specific deliverable to the corporation other than technical/final and financial reports. Such a program would have to be clearly distinguishable from research contracts which state anticipated outcomes in specific areas of corporate interest solicited by the corporation.

Q2: Can you please provide the link to the NSF Grants Training you mentioned?

A2: Here is the [NSF Grants Training link](#).

Q3: For departments that have separate pre- and post-award offices, is there a way for fund managers to see which backup documents were already submitted to OCGA at the proposal stage?

A3: The documents should be available to be viewed in the EPASS system. The proposal, EPASS and all documents included at the submission are there.

Q4: The new subaward form looks fantastic! When will it become available?

A4: The Outgoing Subawards Team's new *Outgoing Subaward & MCA Request Form* (which replaces the *OCGA Subaward Checklist* and *MCA Checklist*) is now available on [OCGA's Forms](#) page.

Q5: About the new sub request form: 1) Has the Javascript been tested on Mac as well as PC? 2) How much longer can we continue to use the old form (say we've got it filled and signed, and are just waiting for the sub to submit the rest of their docs)? And separately: Is the Subrecipient vs. Contractor Determination Checklist no longer needed? It's no longer listed on your website as a required form.

A5: 1) If you'd like to test on Mac, please email us at OCGAsubawards@research.ucla.edu; 2) In regards to item 2, we highly recommend using the new Form when submitting a request for new subawards and/or MCAs (and amendments on those thereafter). We do understand if departments would like to continue using the old form for established Subawards/MCAs that still need amendments.

Q6: Do subcontracts on CIRM awards still need to go through Purchasing instead of OST?

A6: Yes, these are outgoing subcontracts, so they go through Purchasing.

Q7: Question re Form 740 - co-investigator isn't able to Docusign form from remote work location. PI used Docusign. Can the co-investigator hand-sign the printed form and scan it back so that I can attach it to EPASS?

A7: Docusign is required for the 700-U. Electronic signature or scanned copy of a physical signature is acceptable for the 740.