



ASCEND 2.0

06/13/2024

AGENDA

- FAU Project and Source Fields
- Appropriation of Firm Fixed Rate Awards

FAU PROJECT AND SOURCE FIELDS

Mapping

FAU

Location

Account

Cost
Center

Fund

Sub

Object

Project

Source

Currently, in FAU “**Project**” and “**Source**” are available for **departmental discretionary use** as **user-entered text fields**



POETAF
(PPM)

Project

Organization

Expenditure
Type

Task

Award

Funding
Source



In POETAF, **user-entered text fields for discretionary use** are **unavailable**, as Oracle does not offer this functionality

PROJECT AND SOURCE IN FAU: **BUSINESS SCENARIO ANALYSIS**

Lack of user-entered text fields for discretionary use in Oracle will be a **significant change**. The Grants Team worked through the steps outlined below to **develop business solutions** where possible; however, there will be cases in which **some of the information you are currently tracking using the FAU cannot be tracked using POETAF**.

Completed Steps

- Gather business scenarios** from Departments and EFM (**39** business scenarios received!)
- Assign Grants Team resources** from UCLA and Deloitte
- Review each case** and **categorize** into groups
- Review findings at **Research Advisory Committee (RAC)**

Next Steps

- Communicate** to campus at **Research Administration Forum**

More information can be found in the [March](#) and [April](#) 2023 RAF presentations

PROJECT AND SOURCE IN FAU: BUSINESS SCENARIO GROUPINGS

The Grants team **reviewed** each case and **categorized** them into groupings

Category	Count	Example
Track budget and expenses by location, person, event, or vendor	21	<ul style="list-style-type: none">• Indicate the requestor for a purchase• Group travel expenses by a specific traveler• Indicate the quarter and year of an event
Segregate UCPath Payroll by Individual	4	<ul style="list-style-type: none">• Split out lump sum payroll expenses, such as GAEL or TIF, by person
Aid with departmental reconciliation by providing additional detail	3	<ul style="list-style-type: none">• Simplify the process of locating a specific expense when reconciling
Record departmental specific information such as notes	2	<ul style="list-style-type: none">• Use Project code as a notes section• Assign a 4-digit code to make it simple for departmental reviewers to differentiate between types of research funds
Track expenses by sub-task on a single award	2	<ul style="list-style-type: none">• Track spending on various specific aim 1, 2 and 3
One off cases	7	<ul style="list-style-type: none">• Track cost share expenses• Indicate fabrication number• Provide further detail on an expense than object code (ex. glassware, gloves, pipettes) for lab supplies
TOTAL	39	

MAINTAINING PROJECT/SOURCE DATA ORACLE

The **Chart of Accounts**, referring to both CoA and POETAF, is used to **record transactions** in the **financial system of record**. Industry standard for ERP Chart of Accounts is to **exclude free form fields**, as the **intent** of the Chart of Accounts is to **gather data** in a **systematic way** to **run reports**.





Transition to Oracle and Research Finance in PPM is an opportunity to think about the need for maintaining this data:

1. Is the detail captured in FAU Project and Source fields required by the sponsor for invoicing and/or reporting?
2. Is the level of reconciliation being completed needed to meet UCLA policy and procedures (e.g., Policy 910)?




DATA ADDRESSED IN PPM

Research Finance in PPM will provide detail through features and processes to assist with **tracking expenses** as required to satisfy **sponsor terms and conditions**.

ADDRESSED IN PPM

-  **Cost share** and **fabrication** expenses will be segregated in separate projects for their designated purpose and the fabrication number will be added as demographic data on the project
-  **UCPath payroll detail** will pull into PPM
-  Grouping **expenses by sponsor's detailed line items, subtasks, or program** for invoicing and financial reporting will be achieved through separate projects
-  **Expenditure item date** can be used to determine the **budget year** for a multi year award where annual reporting is required

NOT ADDRESSED IN PPM

-  Reporting from **source systems** should be used to gather additional details regarding the expense such as traveler name, event date, requestor of purchase
-  Recording of notes should be done **offline**
-  **Reconciliation** can be achieved through looking at **other fields** of the transaction that post to PPM such as amount, expenditure item date, and expenditure type

FIRM FIXED RATE AWARD APPROPRIATION

The way in which **Firm Fixed Rate** awards are appropriated will **change** with the implementation of Research Finance in PPM

Changes are needed to the process for appropriating Firm Fixed Rate awards to meet the requirements of Research Finance in PPM. Awards for all payment bases will be handled consistently.

Current State

- Award is **not appropriated** upon award **execution**; appropriation occurs when **payments** are **received**
- Process for award appropriation **differs** based on **payment bases**

Future State

- Award is **appropriated** based on **estimated award amount** from Award Snapshot upon award execution
- Process for award appropriation **consistent** across **payment bases**

Section I: Award Summary			
Principal Investigator:	Dr. Bruin	Fund Number:	12345
Sponsor:	Novartis	Sponsor Award Number:	Dr. Bruin_01
Administering Unit:	MEDICINE-DR. BRUINS DEPARTMENT	Prime Sponsor:	N/A
Project Title:	A Phase 3, Randomized, Double-Blind, Clinical Trial...		
Current Budget Period:	01/01/2024 - 12/31/2025		
Project Period:	01/01/2024 - 12/31/2025		
	<ul style="list-style-type: none">• See Section VIII for Other Investigators• For a History of Actions on this award, refer to the Award Snapshot Attachment		
		Current Action:	New
		Funds Awarded this Action:	\$500,000
		Total Funds Awarded to Date:	\$500,000

CONTACT INFORMATION

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ASCEND 2.0 RESEARCH WEBSITE

<https://ascend.ucla.edu/research/overview>