



# NSF & Other Federal Grant Updates

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April 11, 2024

# CHIPS Act of 2022

<https://www.congress.gov/bill/117th-congress/house-bill/4346>

## SUMMARY

Public Law No: 117-167 (08/09/2022) This act provides funds to support the domestic production of semiconductors and authorizes various programs and activities of the federal science agencies.

## Example:

(Sec. 10339B) The NSF must request annually from a recipient institution of higher education a disclosure of any current financial support that is \$50,000 or more, including gifts and contracts, received directly or indirectly from a foreign source associated with a foreign country of concern.

[https://uscode.house.gov/view.xhtml?req=\(title:42%20section:19040%20edition:prelim\)](https://uscode.house.gov/view.xhtml?req=(title:42%20section:19040%20edition:prelim))

## §19040. Foreign financial support

### (a) In general

The Director shall request, on an annual basis, from a recipient institution of higher education a disclosure, in the form of a summary document, from the institution, a foundation of the institution, and related entities such as any educational, cultural, or language entity, of the current financial support, the value of which is \$50,000 or more, including gifts and contracts, received directly or indirectly from a foreign source (as such term is defined in [section 1011f\(h\)\(2\) of title 20](#)) associated with a foreign country of concern.

### (b) Records

Each disclosure to the Director under this section shall be made on the condition that the institution will maintain a true copy of the relevant records subject to the disclosure requirement until the latest of-

- (1) the date that is four years after the date of the agreement;
- (2) the date on which the agreement terminates; or
- (3) the last day of any period that applicable State public record law requires a true copy of such agreement to be maintained.

### (c) Documentation

Upon review of the disclosures under this section, the Director may request that a recipient institution provide true copies of any contracts, agreements, or documentation of financial transactions associated with disclosures made under this section.

### (d) Office of the Inspector General

The Director, acting through the Office of Research Security and Policy in coordination with the Foundation's Office of Inspector General and in consultation with the recipient institution, may reduce the award funding amount or suspend or terminate the award if the Director determines-

- (1) such institution fails to comply with the records retention requirement in subsection (b) or fails to provide information requested under this section; or
- (2) the Chief of Research Security determines the disclosures under this section indicate a threat to research security.

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[Proposal & Award Policies & Procedures Guide \(PAPPG\) \(NSF 24-1\) | NSF - National Science Foundation](#)

## 2. Postaward Disclosures

In accordance with Section 10339B, *Foreign Financial Support*, of the CHIPS and Science Act of 2022, (42 U.S.C. § 19040) each institution of higher education that receives NSF funding must disclose annually, all “current financial support, the value of which is \$50,000 or more, including gifts and contracts, received directly or indirectly from a foreign source” which is associated with a foreign country of concern. See Chapter VII.D.3 for additional information on the preparation and submission of the foreign financial disclosure report. Additional postaward disclosure requirements are specified in Chapter IX.C.

# NSF

## Proposal & Award Policies & Procedures Guide (PAPPG) (NSF 24-1) | NSF - National Science Foundation (PAPPG NSF 24-1)

***Effective: May 20, 2024***

### Foreign Considerations

**Cover Page:** check box indicating “Funding of a Foreign Organization or Foreign Individual”

**Justification of Foreign organizations or foreign individuals MUST provided a justification addressing at minimum:**

- why support from the foreign counterpart’s in-country resources is not feasible;
- why the foreign organization or foreign individual can carry out the activity more effectively than a U.S. organization or U.S. individual
- what unique expertise, organizational capability, facilities, data resources, and/or access to a geographic location not generally available to U.S. investigators the foreign organization or foreign individual brings to the project; and
- what significant science and engineering education, training, or research opportunities the foreign organization or foreign individual offers to the U.S.

# Malign Foreign Talent Recruitment Programs

## Foreign Considerations cont.

**(b) Parties to Malign Foreign Talent Recruitment Programs** — Individuals who are a current party to a Malign Foreign Talent Recruitment Program are not eligible to serve as a senior/key person on an NSF proposal or on any NSF award made after May 20, 2024. See PAPPG Chapter II.D.1.e for additional information on required certifications associated with Malign Foreign Talent Recruitment Programs.

## **(ii) Malign Foreign Talent Recruitment Programs**

Pursuant to Section 10632 (42 U.S.C. § 19232), each individual identified as senior/key person must certify prior to proposal submission that they are not a party to a malign foreign talent recruitment program and annually thereafter for the duration of the award.

False representations regarding either of the above certifications in (i) and (ii), may be subject to prosecution and liability pursuant to, but not limited to, 18 U.S.C. §§.287, 1001, 1031 and 31 U.S.C. §§ 3729-3733 and 3802.



### Reminder:

In accordance with Section 223(a)(1) of the *William M. (Mac) Thornberry National Defense Authorization Act for Fiscal Year 2021* (42 U.S.C. § 6605(a)(1)), each individual identified as a senior/key person is required to certify in SciENcv that the information provided in the Biographical Sketch and Current and Pending (Other) Support documents are accurate, current, and complete.

# NSF – PAPPG Updates

## Other Highlights

- Biosketch - no page limit
  - Synergistic Activities removed from NSF Bio
  - 1 page max - must be included for all Senior/Key Persons
- Mentoring Plan required for all postdoc/gsr supported on project (1 pg)
- Revised instructions for projects that require large scale resources
- Prior Approval required from Tribal Nation(s) officials if impact on Tribal Resources or Interest
- Supplements may be longer than six months
- Individual Development Plan required to be updated annually
  - Required for Postdocs & GSRs with one person month or more of support annually
- Scientific Integrity definition updated

# Uniform Grants Guidance 2024 Revision

New Equipment & Subaward Thresholds **MUST** be used in current Federal Proposals (grant / cooperative agreement) submissions, unless otherwise noted



**Equipment Threshold**  
 Current threshold \$5,000  
 Increase to **\$10,000**

**Subaward Threshold**  
 Current threshold \$25,000  
 Increase to **\$50,000**

The image shows a detailed form titled "UCLA OCGA Subrecipient Letter of Intent (LOI)". The form is for the University of California, Los Angeles (UCLA) Office of Contract & Grant Administration (OCGA). It includes sections for:

- UCLA Project Information:** Principal Investigator, Proposal Title, and UCLA Sponsor.
- Subrecipient Information:** Legal Name, UEI Number\*, Principal Investigator, Project Start Date, Project End Date, Total Requested Costs (USD), Total Cost Share (USD), and Indirect Cost Rate(s) with checkboxes for U.S. Federally negotiated rate, No IDC, Prime Sponsor dictated rate, and U.S. De Minimis rate.
- Proposal Components:** Checkboxes for Statement of Work, Detailed Budget, Negotiated Indirect Cost Rate Agreement, Budget Justification, and Other.
- Project Use:** Checkboxes for Human Subjects, Animal Subjects, Recombinant DNA, Stem Cells, Genomic Data Sharing, and Dual Use Research of Concern (DURC).
- Additional Subrecipient Information:** Questions about whether the subrecipient is a for-profit entity, registered in SAM.gov, and a U.S. entity.
- Conflict of Interest Requirement:** A section where the subrecipient certifies compliance with the prime sponsor's conflict of interest policy.
- NIH International Subrecipient Reporting Requirements:** A section for NIH-funded projects requiring access to lab notebooks, data, and documentation.
- Signature and Date:** Fields for the Name and Title of the Authorized Official, Email Address for Subaward Issuance, Signature, and Date.

<https://ocga.research.ucla.edu/outgoing-subawards-overview/>

# NSF – Training Opportunity

[2024 NSF Policy Office Webinar Series – NSF Policy Office Outreach \(nspolicyoutreach.com\)](https://nspolicyoutreach.com)

NSF Policy Office Webinar:  
Implementing the Common  
Forms for the Biographical  
Sketch and Current and  
Pending (Other) Support  
**Thursday, April 25<sup>th</sup>, 2:00 – 3:00 PM EDT**

Please join us on Thursday, April 25<sup>th</sup> at 2:00 PM EDT for a webinar covering NSF's implementation of the National Science and Technology Council-approved Common Forms for the Biographical Sketch and Current and Pending (Other) Support. This session will cover the policy behind NSF's implementation in the *Proposal and Award Policies and Procedures Guide* (PAPPG) (NSF 24-1). In addition, our colleagues from NIH/National Library of Medicine will provide a demonstration of the revised capability to create and download these required proposal documents in SciENCv.

[Webinar Registration - Zoom \(zoomgov.com\)](https://zoomgov.com)



# OCGA CAMPUS TRAINING

<https://ocga.research.ucla.edu/training-calendar/>

## April

### Submitting a Proposal for OCGA Review

Wednesday, April 17, 2024

9:30am-11:00 am

[Zoom Meeting Registration Link](#)



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# Any Questions?

<https://ocga.research.ucla.edu>

