Agenda

- Grant Team Status Update
- Preparing for Data Conversion
Grant Team Status Update
Project Status: Grant Team

• The project is currently in the **Deliver** phase of the timeline

• Activities for the Grant Team in this phase include:
  ◦ Testing of User Stories (Sprint cycles)
  ◦ Creating Design Specification Documents (DSD) for Reports and Integrations
  ◦ Loading and validating of conversion
  ◦ Refining design decisions and business processes
  ◦ Collaborating with other modules (e.g., General Ledger, Fixed Assets, Capital Projects, and Procure to Pay) regarding processes which require cross-collaboration
  ◦ Participating in various committees and forums related to the Research Enterprise

Additional information on the overall project timeline is in the [Ascend 2.0 Project Roadmap](#) on the Ascend website.
**Sprint Cycle Breakdown**

**What are Sprint cycles?**
- Repeatable phases of the development cycle which break down the development into smaller, more manageable pieces
- Last approximately six weeks in length with eight cycles overall
- Includes testing of User Stories which are user requirements and testing of reports and integrations
- Cycles started Spring 2023 and go through Spring 2024

**Sprint Highlights**
- Sprint cycle four ends Tuesday, October 17, 2023
- Sprints one – two focus was configuration User Stories
- Sprint three and four focus expanded to include central office report testing
- Configurations tested in Accounts Receivable Module include Managing Cash Receipts/Receivables, Cash/Receivables Adjustments, and Month End Close
- Configurations tested in PPM include: Award/Project Creation and Update, Month End Close

**What is coming in the remaining in Sprint cycles?**
- Transition to testing of integrations and system builds including PATS, PAMS, and Project Cost Imports
- Continued central office report testing
- Testing of campus reports designed in collaboration with Research Reporting Sub-Committee
- Retesting as needed
Collaboration: Research Reporting Sub-Committee

• The Research Reporting Subcommittee, within the Campus Reporting Workgroup, was established to ensure the Ascend 2.0 central Reporting Team develops reports that meet the Research community’s needs.

• The Subcommittee is responsible for developing a list of reports needed for campus at go live, in collaboration with the Ascend 2.0 Financial Reporting and Business Analytics (FR&BA) and Grant Teams.

• Upon finalizing the list of reports the respective owning team oversees the creation of the Design Specification Documents (DSD) and development of the report.

• Collaboration between the Subcommittee and Grant team ensures:
  ◦ Reports include data relevant to campus users
  ◦ Reports developed for campus users are consistent in look and feel
  ◦ Creation of duplicate reports is avoided
Design Specification Document (DSD) Breakdown

**What are Design Specification Documents (DSD)?**
- Documents containing the functional and technical requirements for a report or integration
- Used as the source document for the technical team during development

**DSD Highlights: Reports**
- Examples of reporting DSDs being created by the Grants Team for central office use:
  - Reports needed during the billing and reporting process including Pre-Bill reports, invoice and financial report templates for submission to sponsors, and Letter of Credit Reports.
  - Other management and Quality Assurance reports utilized by ORA

**DSD Highlight: Integrations & Conversions**
- Examples of integration DSDs being created by the Grants Team:
  - Integrations from PATS for Award/Project creation and update
  - Integration from PAMS for Award/Project creation and update and fixed amount billing
  - Project Cost imports from boundary systems such as UCPath
Beyond the functionalities covered in the demos, the Grants team has accomplished significant work during Sprints 1-4.

**Sprint 1 – 4 Milestones**

Wrapping up testing of Grants & Accounts Receivable system configuration user stories. Testing for all user stories will continue through sprint 8 (March ~ April 2024).

Research Conversion Design Specification Documents (DSDs) have been completed. DSD creation for integration in process and will continue through future sprints.

Alignment and collaboration with Financial Reporting and Business Analytics team completed for campus facing reports. Design Specification Documents (DSD) creation proceeding according to alignment for all Research related reports.
Ascend: Preparing for Data Conversion
Data Conversion: Overview

• **Sponsored Awards Scope:**
  ◦ Funds open in the financial system as of the conversion cutover date (TBD).
  ◦ Funds closed in the financial system but associated to the same sponsored award as one of the open funds. These are Multiple Fund Numbers under One Award (MFNOA) cases.

• **Costs Scope:** All individual expense transactions on these funds will be converted to Oracle.
  ◦ This level of detail is being converted to facilitate review and necessary adjustments for invoicing, financial reporting, and award closeout in a single system.

• **What if there is a need to revise a financial deliverable for a fund that was closed in the current financial system and NOT converted to Oracle?**
  ◦ There will be a plan in place to perform ad-hoc conversion of all award costs for these scenarios.
Data Conversion: Population

- **Conversion of sponsored project funds involves significant volume and complexity.**
  - In addition to detailed expenditures conversion, complex cutover steps are required to accurately capture the total invoiced amount and outstanding payments on each award.

- **Funds within the conversion scope as of 10/10/2023**
  - Total number of open funds: 8,415
  - Total number of open awards: 8,285
  - Total number of costs: Over 7 million transactions

  **Award Status**

  - **Active**: 5,408
  - **Expired > 120 days**: 1,714
  - **Expired <= 120 days**: 1,163

  - Funds associated to these 1,714 expired awards should be closed out as soon as possible.
  - Timely closeouts should be completed for the funds associated to these 1,163 awards.
Data Conversion: Cleanup

• **To convert sponsored research awards as accurately and efficiently as possible, data cleanup in the current financial system is needed.**
  ◦ Expired awards should be closed (Y/N) before conversion cutover.
  ◦ Data should be recorded to the ledger in a consistent manner.
    ◦ Focusing on Awards with Multiple Payment Bases in today’s presentation.

• **Closing Expired Awards**
  ◦ Closing out funds in the current financial system will be more efficient than closing out in Oracle due to the learning curve of the new system. Your future self will thank you!
  ◦ Additionally, this will reduce the volume of data that needs to be converted to Oracle.
  ◦ Expired awards under MFNOA are a top priority since one fund being open can result in multiple other closed funds having to be converted.

Refer to February 2023 RAF Presentation for resources you can use to close expired funds.
Awards with Multiple Payment Bases

• **Purpose of Field:**
  ◦ To identify the Payment Basis associated with each Expenditure Account-CC-Fund combination on all sponsored research funds in order to correctly determine the revenue method and calculations for conversion to Oracle Cloud ERP.

• **On 6/25/23, a new field for Payment Basis was added to the AF table in OASIS to help identify the payment basis for each expense account.**
  ◦ For awards with a single payment basis, the Payment Basis Code will automatically populate based on a nightly feed from PATS.

• **The available values for this new field are:**
  ◦ 1 – Cost Reimbursable
  ◦ 2 – Firm Fixed Price
  ◦ 3 – Firm Fixed Rate

Reference the [July 2023 RAF Presentation](#) for more information.
Awards with Multiple Payment Bases

• For new awards with multiple payment bases, ensure that a separate Account/CC is set up for each payment basis and the payment basis is indicated in the AF table.
  ◦ This allows both department and central office to easily see which expenses correspond to which payment basis and will enable us to set up revenue recognition and billing method accurately in Oracle PPM.

• For existing awards with multiple payment bases, if the fund will be eligible for conversion (meaning needs to stay open by Ascend go-live in January 2025):
  ◦ Coordinate with your EFM Accountant to link the necessary Account/CCs to segregate budget and expenses per payment basis.

• As of 10/10/23, there are 130 expenditure accounts within the conversion scope that do not have a payment basis code assigned in OASIS.
  ◦ The Ascend Grants team will collaborate with EFM and impacted departments to identify the appropriate Payment Basis Code for the expenditure account if it cannot be determined independently.
  ◦ Please continue to indicate the Payment Basis when submitting linkage requests to EFM for Awards with Multiple Payment Bases.
Any Questions?

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