Extramural Fund Management
Agenda

- Staff Update
- Ascend 2.0: PPM Subledger and POETAF
- Effort Reporting
Congratulations!

- Jennifer Iglesias is Director of Fund Management Teams in EFM, effective April 1st.
Ascend 2.0

Katie Cadle
Agenda

• Ascend 2.0
  ◦ PPM Subledger
  ◦ FAU to POETAF and CoA
  ◦ Project and Source
  ◦ Expenditure Types
In current state, the **General Ledger (GL)** is the central repository for **detailed financial transactions**

In the **future state** (Oracle), most transactions will **begin in subledgers** which will **contain subledger-specific information**:

<table>
<thead>
<tr>
<th>Project Portfolio Management (PPM) Subledger</th>
<th>General Ledger (GL)</th>
</tr>
</thead>
<tbody>
<tr>
<td>• All sponsored contract and grant costs will begin in the Project Portfolio Management (PPM) subledger</td>
<td>• In the General Ledger, summary level information will be recorded using the Chart of Accounts (CoA) chart string</td>
</tr>
<tr>
<td>• In PPM, detailed individual transactions will be recorded using POETAF</td>
<td>• General Ledger will remain “General”</td>
</tr>
</tbody>
</table>

All costs in subledgers will be recorded to the General Ledger based on Subledger Accounting Rules (SLA)
PPM Subledger

PPM Features

• PPM is more than a module to store costs! The PPM Subledger:
  ◦ Interfaces Awards and Projects from PATS
  ◦ Houses expense approval workflow
  ◦ Serves as the source of Financial Reporting and Invoicing to Sponsors
  ◦ Facilitates setup of AR for Invoicing
  ◦ Provides the location to run custom reports for PPM data
The diagram below outlines how each segment of the POETAF will be determined.

<table>
<thead>
<tr>
<th>Project Number</th>
<th>Organization</th>
<th>Expenditure Type</th>
<th>Task</th>
<th>Award</th>
<th>Funding Source</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>A unique combination of Account, Cost Center, and Fund values in FAU will have one unique project. Project Number (8 digits) will be assigned in a sequential order by system.</td>
<td>Expenditure Type value is derived from Object Code value in FAU.</td>
<td>Task has one to one relationship with Project. Task value is derived from Project Number value. No connection to any segment in FAU.</td>
<td>Award is set up in PPM for each unique sponsored award. Award value is derived from Fund Number in FAU and award information in PATS. For single fund number, one fund in FAU will be one award in PPM.</td>
<td>Funding Source values indicate if it is from sponsor, UCLA (cost sharing), Program income, or other source (e.g., STIP). Funding source values will be derived by Fund Group codes and account numbers in FS except for cost sharing. Separate effort will be planned to gather the cost sharing data.</td>
</tr>
</tbody>
</table>

Note: Approach to POETAF mapping is still being finalized and is subject to change.
### Example: FAU to POETAF

<table>
<thead>
<tr>
<th>Location</th>
<th>Account</th>
<th>CC</th>
<th>Fund</th>
<th>Project</th>
<th>Sub</th>
<th>Object</th>
<th>Source</th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
<td>440104</td>
<td>MS</td>
<td>81877</td>
<td></td>
<td>03</td>
<td>4771</td>
<td></td>
</tr>
</tbody>
</table>

- **440104 + MS + 81877**
- **440104 account belongs to OHRPP ***
- **Fund 81877 is for the award record 20222533 in PATS**
- **Fund 81877 is in the sponsored C&G fund group**
- **Object code 4771 is Project specific supplies**

### POETAF

<table>
<thead>
<tr>
<th>Project Number</th>
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<th>Task</th>
<th>Award</th>
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</tr>
</thead>
<tbody>
<tr>
<td>A00000053</td>
<td>OHRPP</td>
<td>Office supplies for direct use for the project</td>
<td>A00000053</td>
<td>20222533</td>
<td>External sponsor</td>
</tr>
</tbody>
</table>

- *****A combination of Financial unit and Entity from CoA mapping will be used for Organization**

Note: 
1. Approach to POETAF mapping is still being finalized and is subject to change.
2. Expenses in the future will map to the appropriate expense account.
**FAU to POETAF and CoA Mapping**

### Example: POETAF to CoA

<table>
<thead>
<tr>
<th>Location</th>
<th>Account</th>
<th>CC</th>
<th>Fund</th>
<th>Project</th>
<th>Sub</th>
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<td></td>
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</tr>
</tbody>
</table>

#### FAU

<table>
<thead>
<tr>
<th>Location</th>
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<td></td>
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</tbody>
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#### POETAF

<table>
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<tr>
<th>Project Number</th>
<th>Organization</th>
<th>Expenditure Type</th>
<th>Task</th>
<th>Award</th>
<th>Funding Source</th>
</tr>
</thead>
<tbody>
<tr>
<td>A0000053</td>
<td>OHRPP</td>
<td>Supplies – for Project</td>
<td>A0000053</td>
<td>20222533</td>
<td>External sponsor</td>
</tr>
</tbody>
</table>

#### CoA

<table>
<thead>
<tr>
<th>Entity</th>
<th>Financial Unit</th>
<th>Account</th>
<th>Fund</th>
<th>Transaction Class ***</th>
<th>Program</th>
<th>Portfolio</th>
<th>Flex</th>
<th>Activity</th>
<th>Inter-company</th>
</tr>
</thead>
<tbody>
<tr>
<td>UCLA Campus</td>
<td>OHRPP</td>
<td>Expenses - Supplies</td>
<td>C&amp;G Funds</td>
<td>Research ***</td>
<td>A0000053</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td></td>
</tr>
</tbody>
</table>

- **Campus is involved in mapping**
- **Program team will derive values from FAU/POETAF values**

**Note:** Approach to POETAF mapping is still being finalized and is subject to change.
Currently, in FAU “Project” and “Source” are available for departmental discretionary use as user-entered text fields.

In POETAF, user-entered text fields for discretionary use are unavailable, as Oracle does not offer this functionality.
Lack of user-entered text fields for discretionary use in Oracle will be a significant change. The Grants Team is working through the steps outlined below to develop business solutions where possible; however, there will be cases in which some of the information you are currently tracking using the FAU cannot be tracked using POETAF.

Completed Steps

- Gather business scenarios from Departments and EFM (46 business scenarios received!)
- Assign Grants Team resources from UCLA and Deloitte

In Progress

- Review each case to identify solutions for data originating in current financial system and data originating in Oracle (projected completion by 6/2/23)

Next Steps

- Review solutions at Research Advisory Committee (RAC) for feedback (5/25/23)
- Communicate solutions to campus at future RAF (summer 2023)
Expenditure Types | Feedback Requested

The Grants Team has reviewed all Object Codes used in the past three fiscal years and is working to eliminate underused Object Codes, combine Object Codes where possible, and add more descriptive detail with the aim of streamlining the list of Expenditure Types in POETAF. (The total number of Object Codes in the current FS that can be used on C&G is around 840.)

Please share your input!
The Grants team is seeking departmental feedback on a proposed list of 189 Expenditure Types by May 15, 2023.

• Do you see any expenditure type that is too general or not clear to use?
• Do you have specific expenditure type to add to the list?
• Any other suggestions?
The list will be shared via the ORA News mailing list after this meeting.
Effort Reporting

Val Gomez
Effort Report Certification Status

- Effort Report Certification Rates can be found in ORA Online Resource Center
  - Compliance Tab → Effort Report Certification Status

- As of 4/12/23, there are 18,836 open effort reports to be reviewed for certification
  - A higher number of effort reports starting Fall 2018 requires certification

- ERS Helpdesk to work with ERS Coordinators to address growing population of open effort reports
  - Identify and addressing obstacles impeding certification

https://portal.research.ucla.edu/EffortReportingCertification
Effort Report Certification Status

Breakdown by Organizational Hierarchy

- Filtered Effort Report Certification Rates Are Accessed Through the Charts Tab
  - Department, Period, Status
Upcoming Release

• Effort reports will be released for the following periods:

<table>
<thead>
<tr>
<th>Reporting period</th>
<th>Non-Academics and 11/12 Academics</th>
<th>9/12 Academics</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fall 2022</td>
<td>October 2022 – December 2022</td>
<td>July 2022 – October 2022</td>
</tr>
<tr>
<td>Winter 2023</td>
<td>January 2023 – March 2023</td>
<td>November 2022 – February 2023</td>
</tr>
</tbody>
</table>

• **Target Release**: Late April 2023
• **Certification Due Date for Fall 22 & Winter 23 Release**: July 2023
• **ERS production site will not be available during release preparation**
  ◦ Tentative downtime is scheduled starting Friday, 4/21/23 from 5pm – Monday, 4/24/23
  ◦ ERS downtime will be communicated through the ERS Listserv
• **ERS will account for earnings through March 2023**
  ◦ Subsequent loads of earnings are targeted to take place monthly
• **Effort reports impacted by key UCPath defects will continue to be flagged as “Under preliminary Review” with an associated comment.**
  ◦ Please visit EFM’s special instructions page, to view an example of “Under Preliminary Review” flag, along with explanation of known UCPath issues and the necessary actions to take to complete certification.
Upcoming LMS Courses Hosted by EFM

- **Post Award Research Administration**: Online course is covered in two half-day sessions
  - Monday, May 1\textsuperscript{st} and Tuesday, May 2\textsuperscript{nd} from 8:30am - Noon

- **RAPID Closeout Tool**: Online course is covered in one half-day session
  - Monday, May 8\textsuperscript{th} from 8:30am - Noon

- **Effort Reporting**: Online course is covered in two half-day sessions
  - Monday, May 15\textsuperscript{th} and Tuesday, May 16\textsuperscript{th} from 8:30am - Noon

- You may register via the UCLA Learning Management System (LMS) at [http://lms.ucla.edu](http://lms.ucla.edu). If you have any questions about this course, feel free to e-mail [EFMOperations@research.ucla.edu](mailto:EFMOperations@research.ucla.edu).

- Please share this information with others in your department who may be interested.
Any Questions?

Contact Information

EFM Website
https://efm.research.ucla.edu/

Ascend Website
https://ascend.ucla.edu/

Katie Cadle
Phone: (310) 794-0223
Email: kcadle@research.ucla.edu

Val Gomez
Phone: (310) 794-0103
Email: valeria.gomez@research.ucla.edu

ERS Help Desk
Email: ershelp@research.ucla.edu