

November 2022 Research Administration Forum Q&A

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Research Data Working Group

Q1: How does this differ from the existing data sharing plans that PI's submit with NIH proposals?

A1: The major difference is that it is required for all research proposals. We recommend careful review of the policy, instructions, templates, and FAQs provided by NIH.

Q2: Is there a quick way for a given PI to answer the question "Do I need a DMS?" Is it as simple as that it will be required any time it's an R grant with any scientific data? Will Cayuse create an error with no plan?

A2: The starting point should be to assume that a plan will be required unless it's a training or infrastructure grant. Systematic errors are controlled by the Sponsor and specific to the PA.

Q3: For budgeting, is there a place to find the cost for software at UCLA (campus or medical center?)

A3: Support for budgeting requirements is being discussed by the Research Data Working Group.

Q4: Does this new policy apply to NIH progress reports for currently funded projects?

A4: From the NIH website the new DMS policy applies as follows:

The effective date for the DMS Policy is January 25, 2023. Specifically, the policy applies to:

- Competing grant applications that are submitted to NIH for January 25, 2023 and subsequent receipt dates.
- Proposals for contracts that are submitted to NIH on or after January 25, 2023.
- NIH Intramural Research Projects conducted on or after January 25, 2023.
- Other funding agreements (e.g., Other Transactions) that are executed on or after January 25, 2023, unless otherwise stipulated by NIH.

Q5: Can campus come up with a verbiage, the same as of TIF, for budgeting purpose?

A5: Some standard language will likely be developed over time, but different language will be required for different approaches to data sharing.

Q6: How is UCLA monitoring compliance?

A6: ORA and Audit office will discuss a feasible monitoring process.

Q7: I think the policy requires a description of how each institution will comply. Will there be boilerplate made eventually?

A7: A boilerplate may not be appropriate because it will likely depend on the nature of the research and the type of data being uploaded.

Q8: When these are budgeted, will NIH expect to see a one-time set of charges for the total cost of complying with the plan, or does NIH expect to see data sharing charges for each year during which the PI expects they will have data to share?

A8: It depends on the project design and the data sharing plan. The costs could be a one-time set-up fee early in the project period, or could be expended in the last project year. Costs must be incurred before the end of the project period to be allowable.

Extramural Fund Management Hot Topics

Q1: If we are the sub, our closeout process is 60 days only, can you please confirm and explain the process?

A1: The new procedure of preparing the final invoice or the final financial report will be applicable only to direct Federal funds. For the federal pass through, the current standard procedure of preparing the final will continue to apply. More details about the current [closeout procedure](#) and [closeout packet deadlines](#) can be found on the EFM website.