OCGA RAF Updates

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October 13th, 2022
Overview

1. NSPM-33
2. Common Disclosure Forms
3. NIH Updates
4. NSF Updates
5. Training Opportunities
6. NIH Loan Repayment Program Applications
On January 14, 2021, the White House issued “Presidential Memorandum on United States Government – Research and Development National Security Policy” (NSPM-33). NSPM-33 tasked the heads of U.S. research funding agencies with establishing policies on various aspects of research security, including:

1. Researcher disclosure requirements
2. Use of digital persistent identifiers (DPIs)
3. Appropriate consequences for disclosure violations
4. Sharing of information about violators, as consistent with applicable laws
5. Standards for research security programs
NSPM-33 Guidance was issued on January 4, 2022, by the Office of Science and Technology Policy (OSTP) acting through the National Science and Technology Council (NSTC) Joint Committee on the Research Environment (JCORE) Subcommittee on Research Security; **promotes harmonization among agencies policies to the extent possible and practicable**

- NSTC Research Security Subcommittee has developed proposed **common disclosure forms** for the Biographical Sketch and Current and Pending (Other) Support
- NSF is serving as steward of these common forms
- Feedback requested by October 31, 2022
- OCGA working group reviewing forms, soliciting campus input and providing feedback to UCOP
- Refer to [ORA news](#) September 19th, 2022 for more information
Dear Colleagues,

You may have heard the welcome news that a Federal effort is underway to transition to common disclosure forms for the Bio-sketch and Current & Pending (and Other) Support reports that all federal sponsors will be strongly encouraged to use for financial assistance funding. NSF is serving as steward of those forms and is currently soliciting input on the drafts. OCGA is currently reviewing the forms and we welcome and encourage input from campus. The documents for which NSF is seeking comments are:

a. A common Biographical Sketch form, including data elements and associated instructions;

b. A common Current and Pending (Other) Support form, including data elements and associated instructions; and

c. An excel spreadsheet that summarizes all the data elements, as well as their data attributes.

Please provide comments or suggestions to OCGA by emailing Linda Phung (linda.phung@research.ucla.edu) by October 14, 2022, and we will collate for a UCLA response.

Thank you for your input and thoughts on this important initiative,
NIH Implementation of the NSPM-33 Implementation Guidance

- Expects to have new Biosketch and C&P (Other) support forms available January 2023
- Will include optional Persistent Digital Identifier (PDI) on the Biographical Sketch and Other Support format pages (PDI for NIH is ORCID)
- Electronic signature requirement on the Biographical Sketch to align with the Other Support format page
- Updated certification language on the Biographical Sketch and Other Support format pages
- Failure to follow the appropriate formats may cause NIH to withdraw applications from or delay consideration of funding
- Electronic versions of the new forms are expected to be available in SciENcv in early FY 2024 (goal to align NSF’s and NIH’s implementation)
What is ORCID?

- ORCID stands for Open Researcher and Contributor ID.
  - Sixteen-digit permanent digital identifier that disambiguates authors with the same or similar names
  - It allows linking publications and other contributions to a single person, avoiding confusion caused by similar or identical names, arbitrary use of middle initials, changes to names when people get married, and change of workplace
  - For more info see UCLA Library ORCID info and the ORCID webpage
• Biographical Sketch and Current and Pending Support
  ◦ Certification language will be incorporated into both formats from January 2023
  ◦ Electronic Signature will be required
  ◦ NSF plans to specify ORCID as the Persistent Digital Identifier and encourages senior personnel to use ORCID to help with pre-population and reduction in administrative burden
  ◦ Use of SciENcv to become mandatory on October 23rd, 2023
    ◦ (NSF had previously targeted January 2023)
NSF Pre- and Post-award Requirements for Disclosures

• At time of proposal submission

• If requested by the PO, proposers will be required to submit updated CPS documents prior to funding recommendations
  ◦ Even if there are no changes from the originally submitted CPS, the individual will be required to resubmit with a new timestamp on the electronic signature
  ◦ Funding recommendations cannot be completed until CPS has been updated for all senior personnel

• After an award is made
  ◦ If the AOR discovers that a disclosure should have been submitted at the time of proposal submission, but was not, they have 30 days to submit a post-award request to NSF
  ◦ At the time of project reporting: PIs and co-PIs must specify whether new, active other support has been received in their annual and final project reports. If yes, they must attach updated Current and Pending Support information

• NSF is continuing to assess possible use of a just-in-time solution for current and pending support for the future
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<tr>
<th>Action</th>
<th>Deadline</th>
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<tr>
<td><strong>SUBMIT NEW</strong></td>
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<tr>
<td>Last day to submit <strong>new proposals</strong> in FastLane</td>
<td>Friday, January 27, 2023 (5:00 PM submitter's local time)</td>
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<td><strong>EDIT EXISTING</strong></td>
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<tr>
<td>Last day to submit <strong>proposal file updates/budget revisions</strong> in FastLane</td>
<td>Friday, September 29, 2023 (5:00 PM submitter's local time)</td>
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<td><strong>VIEW EXISTING</strong></td>
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<tr>
<td>Last day to download <strong>FastLane submitted proposals</strong> and print FastLane in-progress proposal PDFs</td>
<td>Friday, September 29, 2023 (11:00 PM Eastern Time)</td>
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NSF Outreach Opportunities

• Fall 2022 NSF Grants Conference – November 14-17
  ◦ Registration opens on October 13th
  ◦ Proposal Preparation and Merit Review
  ◦ Award Management
  ◦ Directorate-specific sessions
October

Filling out the EPASS: What is it, when is it required and how to complete

Wednesday, October 19, 2022
9:30am-11:00 am

This session will address the background and purpose of the EPASS. We will review and discuss each section of the EPASS with specific examples of questions from users. This session is appropriate for anyone with responsibility for completing, reviewing or processing EPASS forms.
NIH Loan Repayment Programs (LRP) Applications

**New applications:**
1. Certification Form*
2. Citizenship confirmation
   - Department certifier with access to Applicant’s I-9 form can confirm via email.

**Renewal applications:**
1. Certification Form*

*Link to NIH Loan Repayment Programs (LRP) - Institutional Business Official Certification form.

**Process:**
- Applicant completes LRP application in ASSIST, and sends eRA Help Certification form signed by their department certifier five business days prior to deadline (Wednesday, November 9).
- When application is “Ready for Submission” – we will conduct a short review.
- Applicant submits LRP application in ASSIST.
- We will perform IBO Certification in eRA Commons.

**Deadline:** Thursday, November 17

**Submit forms and questions to:** eRAHelp@research.ucla.edu

**NIH LRP website:** https://www.lrp.nih.gov/
Any Questions?