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# SANCTIONS & EXPORT CONTROL UPDATE

## RESEARCH ADMINISTRATION FORUM

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# OVERVIEW Export Controls

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## WHAT ARE EXPORT CONTROLS?

The **federal laws and regulations** that have been established by the U.S. government to control:

- The **export\*** of sensitive equipment, software, and technology
- Trade and financial transactions

These controls are in place to promote national security interests and foreign policy objectives.

*\*export* the shipment, transmission, or transfer of items, information, and software to foreign countries, persons, or entities

## IMPACT ON UCLA

- Research
- Teaching
- Services
- Financial Transactions – payments, purchases
- Travel
- Shipment of tangible items
- Visa beneficiaries
- Violations and reputational risk

# UPDATE Russia Sanctions & Export Controls



## U.S. DEPARTMENT OF COMMERCE Bureau of Industry and Security ("BIS")

- Severely restrict Russia's access to technologies and items that sustain military capabilities
- Target Russia's defense, aerospace, and maritime sectors
- Policy of denial on items that were not previously subject to controls: **semiconductors, computers, telecommunications, information security equipment, lasers, sensors**



## U.S. DEPARTMENT OF THE TREASURY Office of Foreign Assets Control ("OFAC")

- Target Russia's two largest financial institutions and other major ones
- Require all U.S. financial institutions to close any correspondent or payable-through accounts and to reject any future transactions
- Russian and Belarus individuals sanctioned



## WHAT DOES THIS MEAN FOR UCLA?

- Fundamental Research are not subject to export controls
- Deemed exports are excluded
- License may be required for:
  - Shipping and exchange of information
  - Travel
  - Services
  - Financial Transactions

# QUESTIONS & COMMENTS

[export.controls@research.ucla.edu](mailto:export.controls@research.ucla.edu)

**Ann Pham**

Export Control Officer

**Aaron Taber**

Senior Export Control Analyst

**UCLA**

