



# FDP Updates

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February 14, 2019



# FDP (Federal Demonstration Partnership)

## Mission Statement

Association of federal agencies, academic and nonprofit research institutions, and research policy organizations that work together in a collaborative initiative to streamline the administration of federally sponsored research

# FDP

## Open Government: Research Administrative Data Subcommittee

- **To provide a forum to track, analyze, and streamline the impact of data driven initiatives stemming from various Federal Government directives such as**
  - To facilitate the adoption of standards and their implementation to ensure transparency goals are met while maximizing positive impact and minimizing workload on all partners in the process
  - To collaborate with other FDP committees as needed (e.g. eRA, Expanded Clearinghouse) to address data-centric components of mutual interest

### DATA Act

Digital Accountability Transparency Act of 2014

Address issues of

- Completeness
- Accuracy
- Use (definitions)
- Presentation (transparency)

### OPEN Government Data Act (H.R. 1770)

Open, Public, Electronic, and Necessary  
OMB Charge to develop and maintain

<https://www.data.gov/>

Make available to the Public

- Establish minimum standards for data
- Accountability (oversight)
- Creates Chief Data Officers at fed agencies

### GREAT Act (H.R.150)

Grant Reporting Efficiency and Agreements  
Transparency Act of 2019

- Data standards for Grants Reporting
- Requirement for collection and publication of data

# FDP

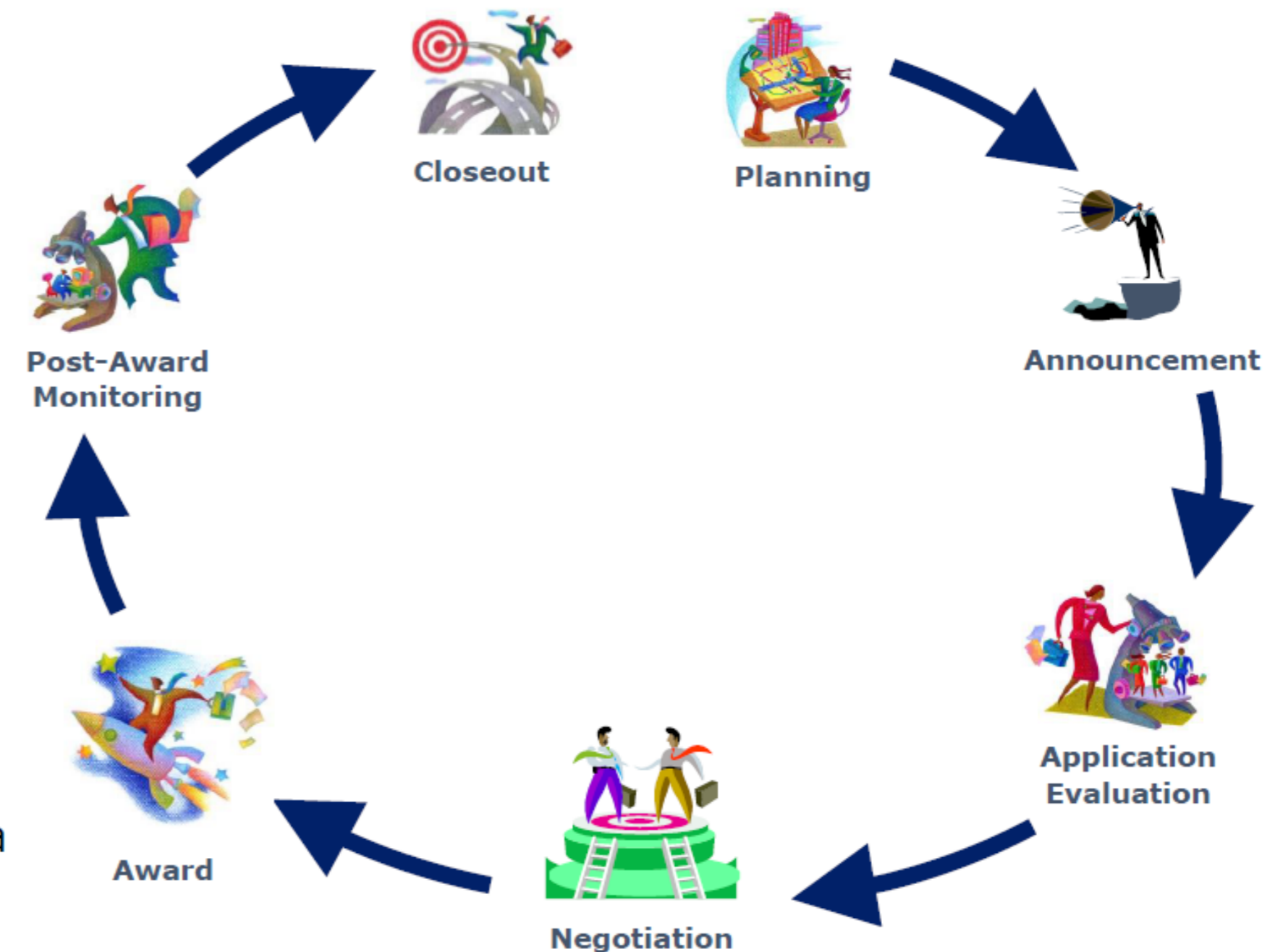
Open Government – HHS Reinvent Grants Management

## The Challenge

REIMAGINE HHS

### Pain Points across the Grants Lifecycle

- Excessive and duplicative grant management burden (i.e., admin paperwork).
- Processes are complex, fragmented, and managed differently among OpDivs and StaffDivs.
- Numerous IT Systems across the OpDivs and StaffDivs for the same stages of grant management.
- No data centralization or standardization, limited data sharing, and absence of data visibility at the Department level.
- Reliance on manual processes to report grants data and limited ability to use data for proactive decision-making.



# FDP

## Blockchain

- "an open, distributed ledger that can record transactions between two parties efficiently and in a verifiable and permanent way"
  - Inexpensive
  - Secure
  - Efficient

Example: BitCoin

# NIH

## NIH Training Grant Applications

- Harassment and Discrimination Protections in NIH Training Applications
  - [NOT-OD-19-056](#)
  - Letters of Support (to be combined into 1 upload)
    - Institutional Commitment for the Success of the Planned Program
    - Institutional Commitment to Ensure “proper policies, procedures, and oversight are in place to prevent discriminatory harassment and other discriminatory practices”

# NIH

## NIH Inclusion Enrollment

- [NOT-OD-18-116](#)
- Reminder: All Competing Grant Applications with due dates on or after January 25, 2019 require
  - All individuals regardless of age “must” be included in all human research subjects
  - Based upon the research project, should it not be appropriate to include certain subjects based upon their age, the exclusion rationale must be address
  - Institutional Commitment to Ensure “proper policies, procedures, and oversight are in place to prevent discriminatory harassment and other discriminatory practices”



## NIH Early Stage Investigators Status (ESI) NOT-OD-18-235

- Extension of ESI status possible on case by case basis

- **Must include**

- eCommons Username
- Number of Months for Extension
- New ESI End Date
- Justification for Extension Request

Most ESI request due to childbirth

NIH will allow 1 year extension of ESI status w/ inclusion of child birth date and justification

### Other Areas Considered

- Family Care Responsibilities
- Extended Periods of Clinical Training
- Extended Periods of Additional (non-degree) Research Training
- Disability or Illness
- Active Duty Military Service
- Clinical Loan Repayment Requirements
- Natural or Other Disaster

[Information on  
Early Stage  
Investigators](#)



## NIH Early Stage Investigators Status (ESI)

- [NOT-OD-18-235](#)
- Extension of ESI status possible on case by case basis
  - Link to electronic ESI extension request
  - [https://grants.nih.gov/policy/early-investigators/esi\\_extension\\_add.htm](https://grants.nih.gov/policy/early-investigators/esi_extension_add.htm)

Website lists possible rationale for ESI status extensions that they will entertain.

Name (as it appears in NIH eRA Commons):

Email Address:

eRA Commons User ID:

Number of Months for Requested Extension:

 (Please enter a whole number)

New ESI End Date Month/Year:

 (e.g., 12/2010)

Reason for the Request – limited to 300 words (review FAQs to determine the information needed by the committee and please keep your request brief):

**REMINDER: if submitting a request for multiple reasons, please include the number of months associated with each reason.**

Security Check: (Please Enter 3129 in the Box below)

 Enter 3129 in this Box.

Submit Extension Request

# NSF

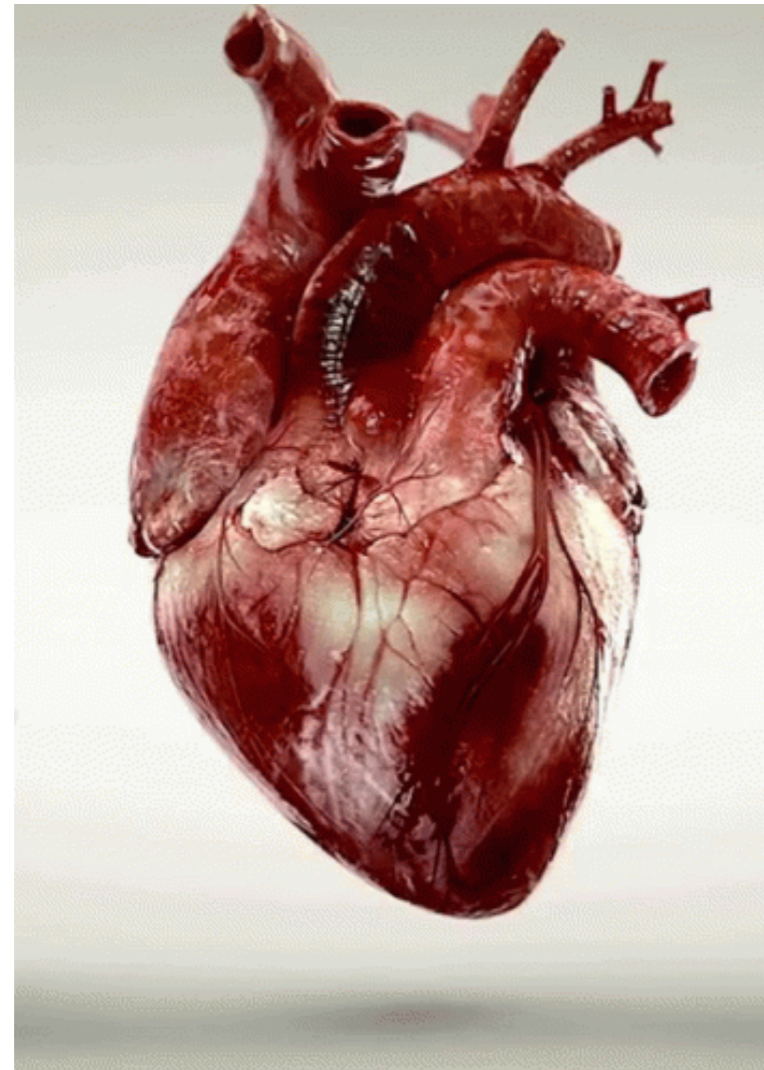
SLIDE SUBTITLE

## *Proposal & Award Policies & Procedures Guide*

### **(PAPPG), (NSF 19-1)**

- Effective date moved back – for Proposals Submitted, or Due On/After February 25, 2019
  - Addition of [Research.gov](https://www.research.gov) as an option for proposal preparation and submission, and proposal file updates;
  - Revision of eligibility standards for unaffiliated individuals;
  - Specification that conference proposals over \$50,000 and all equipment proposals must include the Collaborators and Other Affiliations information in the proposal submission;
  - Revision of resubmission guidelines for NSF programs that accept proposals at any time;
  - Implementation of NSF's policy on sexual harassment and other forms of harassment, or sexual assault;
  - Specification that proposers are required to have a policy or code-of-conduct that addresses sexual harassment, other forms of harassment, and sexual assault, and that includes clear and accessible means of reporting violations of the policy or code-of-conduct. This policy or code-of-conduct must be disseminated to conference participants prior to attendance at the conference as well as made available at the conference itself;
  - Emphasis on the importance of training faculty in the responsible and ethical conduct of research;
  - Incorporation of existing patent policy into the PAPPG. This policy was previously implemented by regulation at 45 CFR 650; and
  - Numerous clarifications and other changes throughout the document.

# Any Questions?



## Contact Information

Website URL

<http://ocga.research.ucla.edu>