



Patti Manheim
OCGA Proposal and Award Data

October 13, 2016

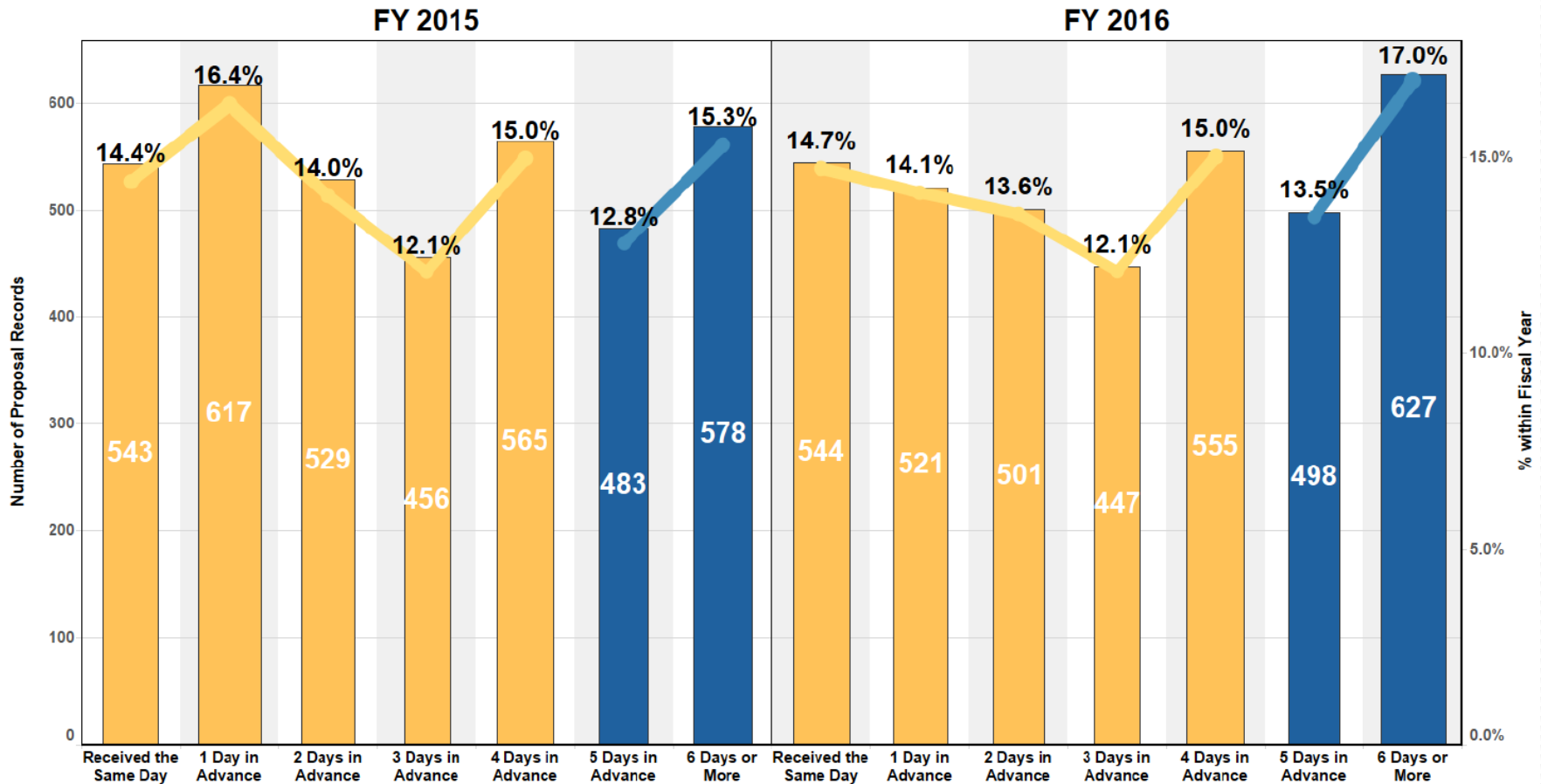
Proposal Submissions

FY14 – FY16

Administering Office		FY 2014	FY 2015	FY 2016
OCGA	Count	4,855	4,922	4,913
	Dollars	\$3.6 B	\$3.7 B	\$3.9 B
Overall Campus	Count	5,385	5,470	5,525
	Dollars	\$3.7 B	\$3.8 B	\$4.0 B

- Average of 23 proposal submissions per working day for all of UCLA
- Average of 20 proposal submissions per working day for OCGA
- Office of Contract and Grant Administration handles 90% of transactions

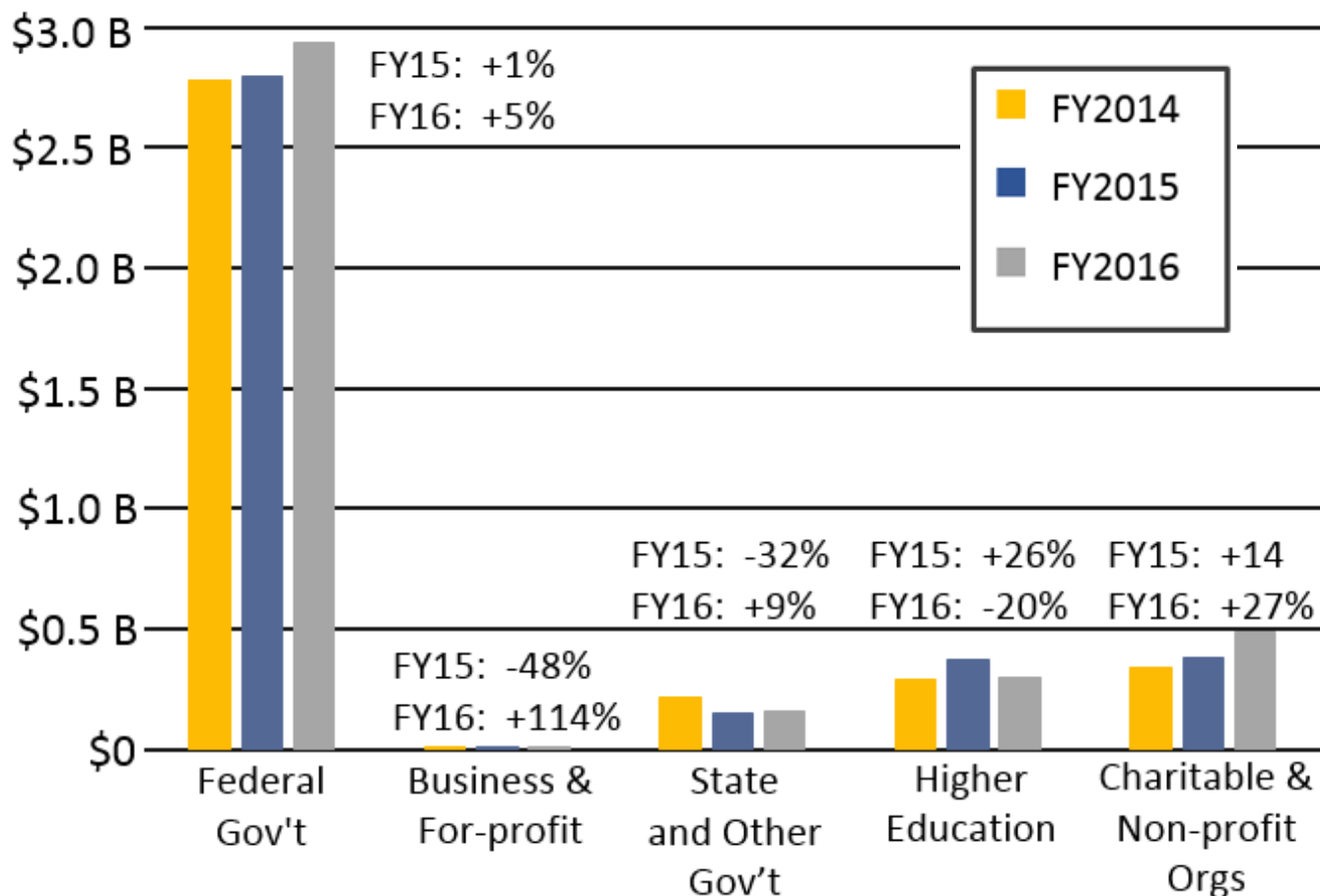
On-Time Proposal Submissions to OCGA FY15 – FY16



- Increase in proposals received 5 days or more.
- 70% (7 out of 10) non-compliant.

Requested Dollars by Sponsor Type

FY14 – FY16

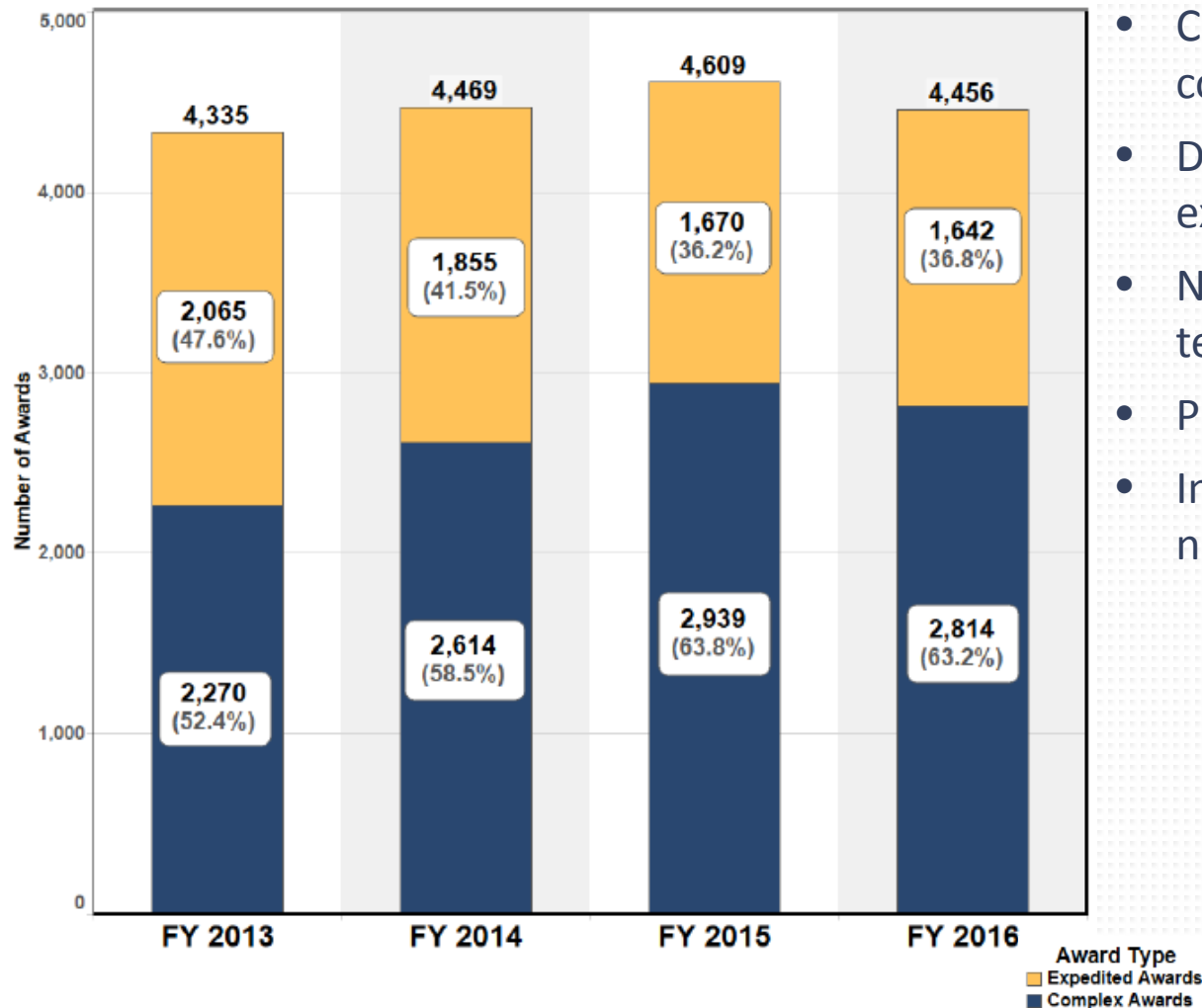


Complex applications continue to rise.

- Prospective agreement to problematic terms and conditions
- Vague and/or unfamiliar guidelines
- Unique/non-standard sponsor submission systems
- Often require F&A Requests for Exception

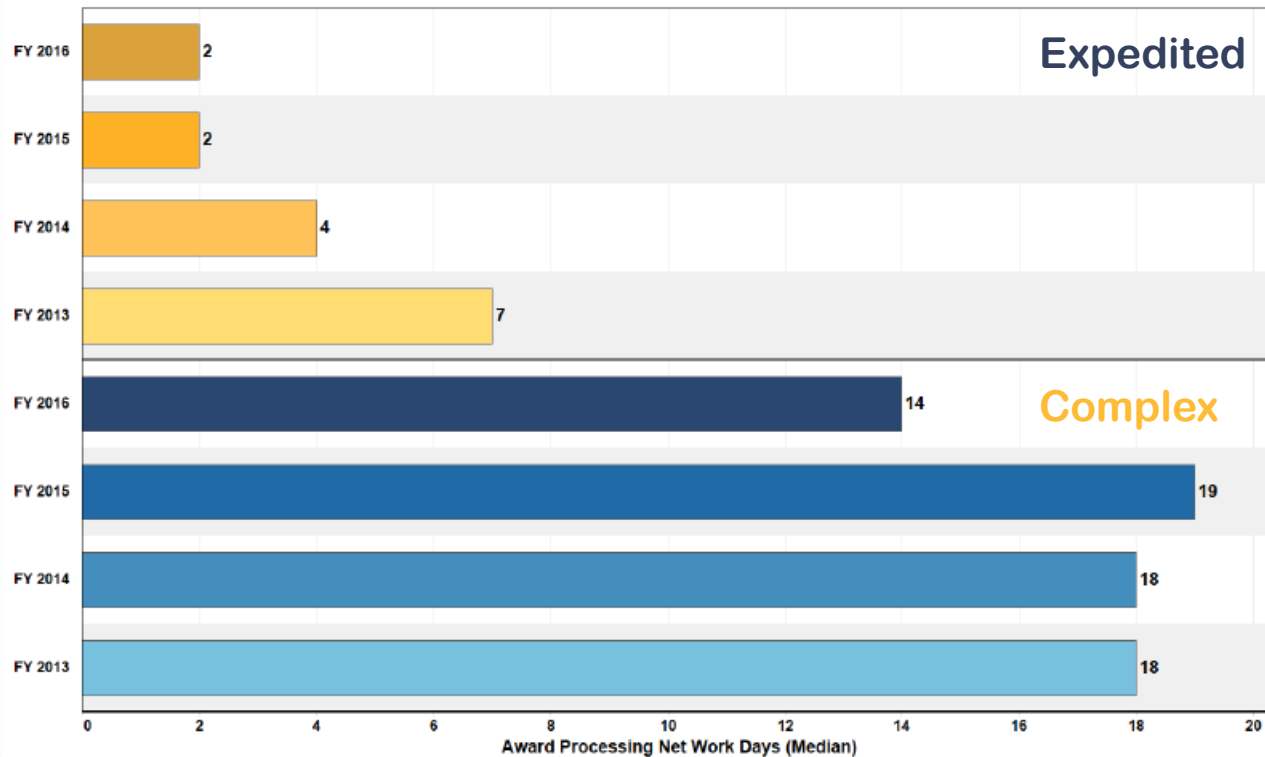
Complex versus Expedited Awards

FY13 – FY16



- Continuing steady increase of complex awards
- Decrease in standard expedited awards
- Non-standard/non-favorable terms and conditions
- Protracted processing times
- Input/approval from a number of parties

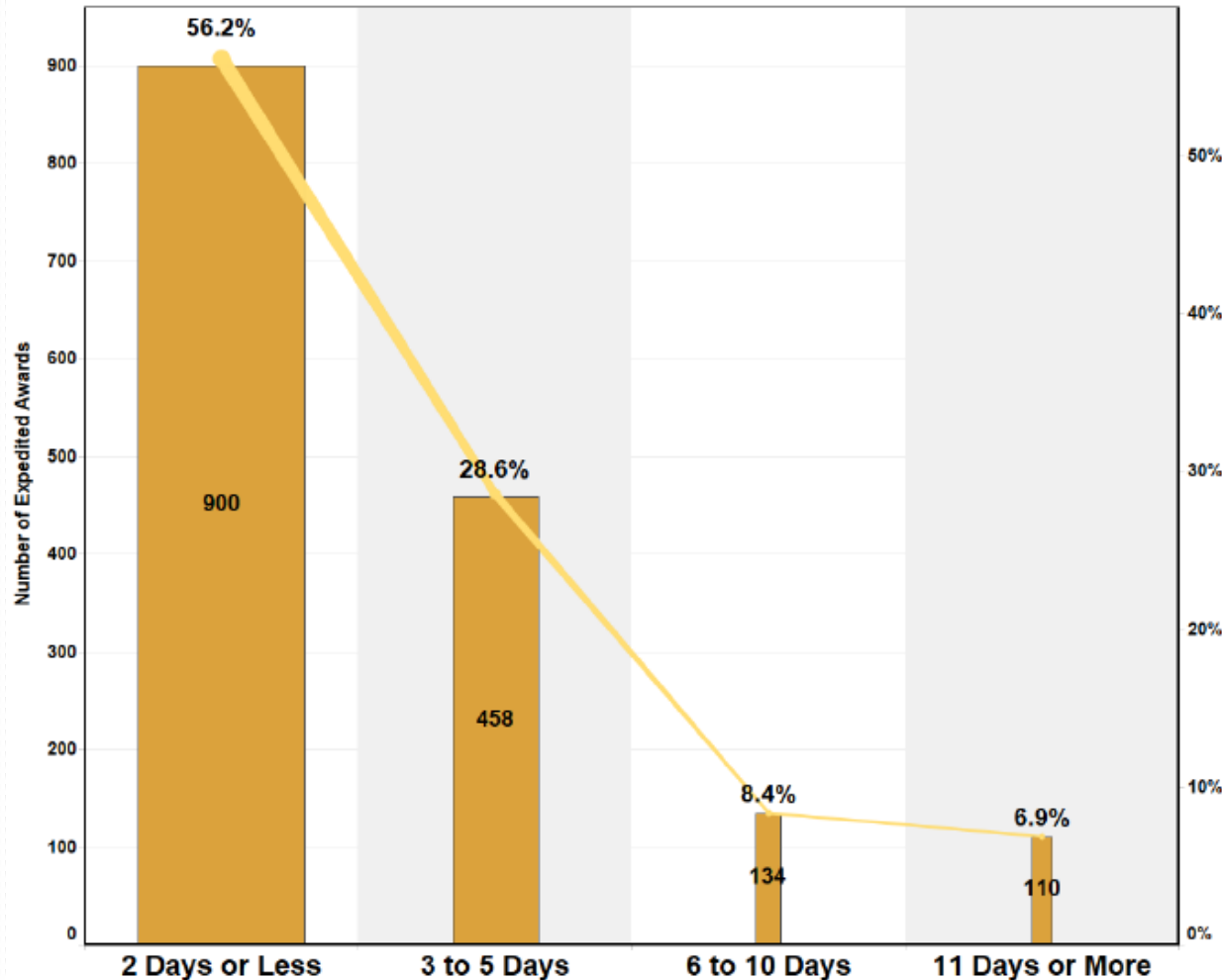
Award Processing Times – Expedited versus Complex FY13 – FY16



- Consistent processing timeline for expedited awards.

- Five business day reduction in processing times for complex awards!

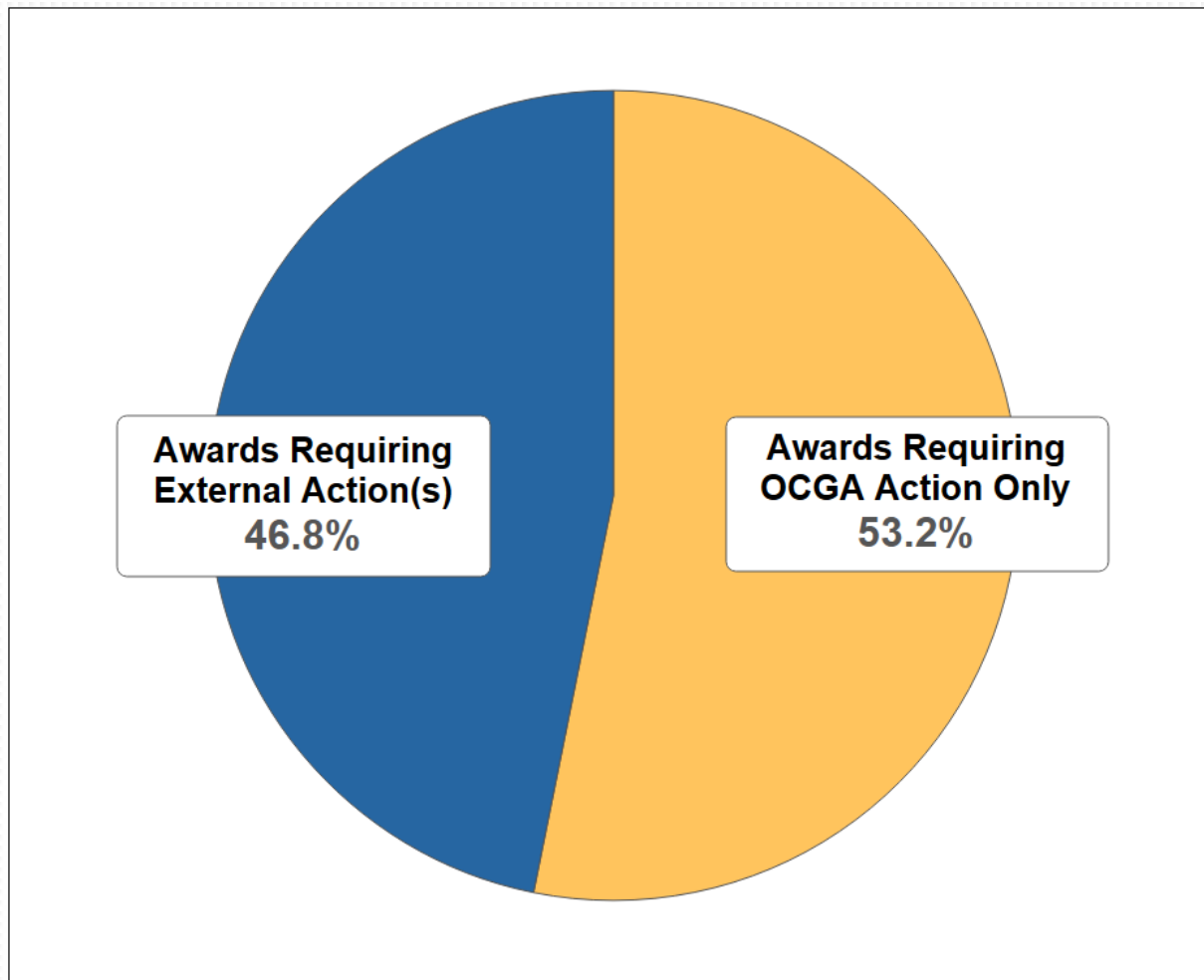
Expedited Award Processing FY16



- Favorable terms – no negotiation/no signature
- Missing Internal documents (signed eEDGE forms, EPASS, IRB/ARC Approvals)
- FCOI reviews (small percentage)

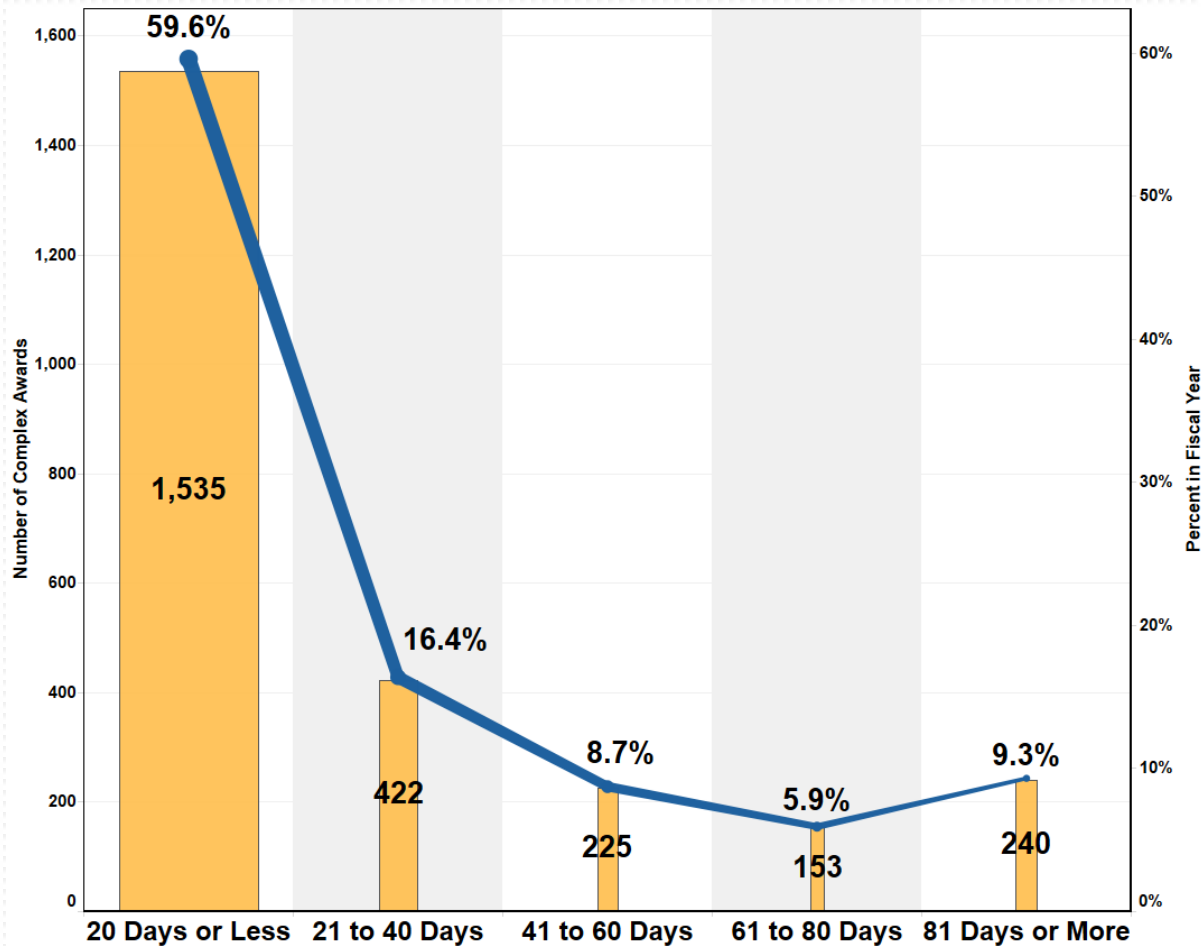
Expedited Award Processing

FY16



- Majority of expedited awards did not require input from department, RPC, IRB, ARC, etc.
- Ensures two-day turnaround time.
- Complete proposal materials lead to efficient, quick award processing and fund number assignment.

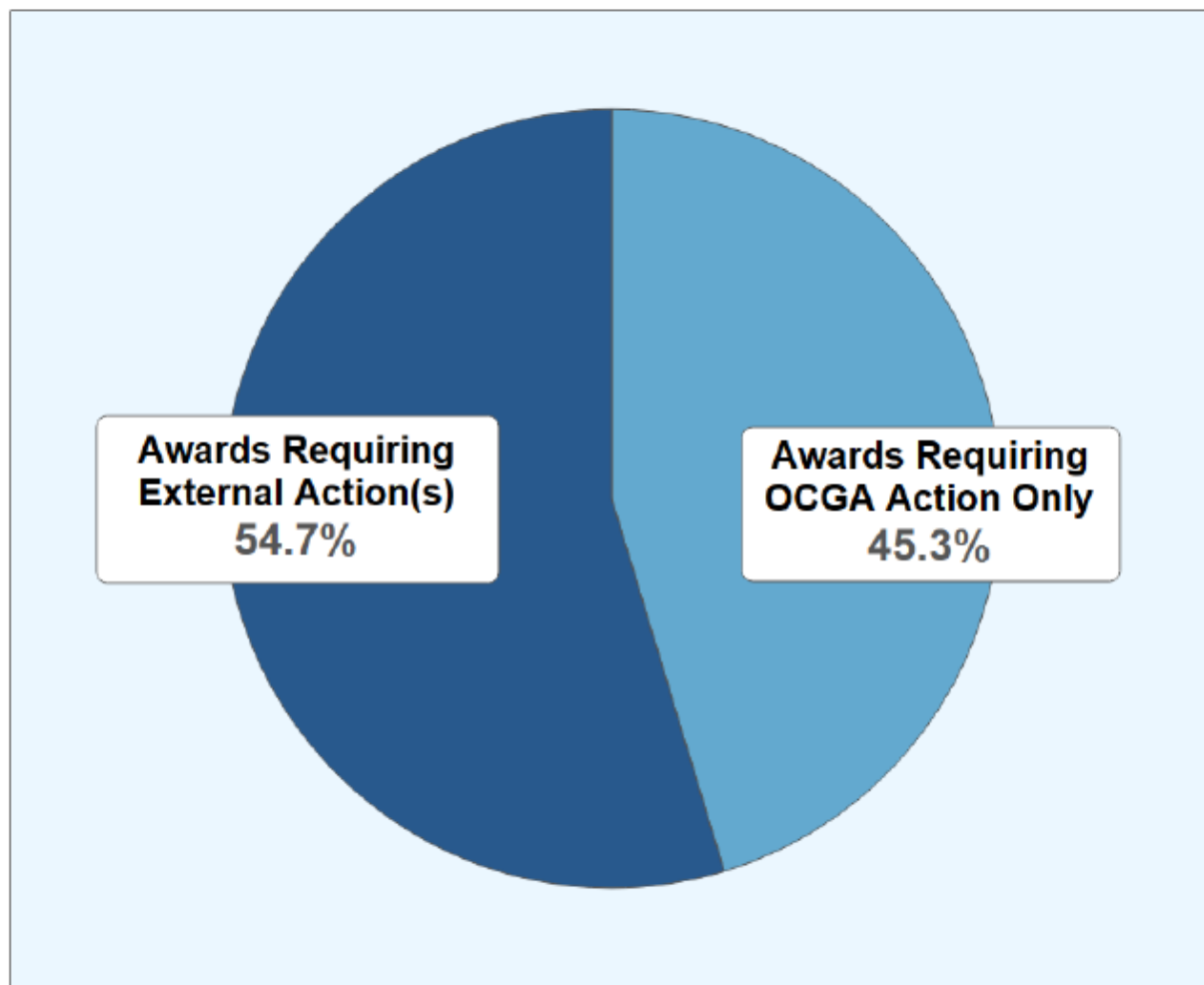
Complex Award Processing FY16



- Missing Internal documents (signed eDGE forms, EPASS, IRB/ARC Approvals)
- Protracted negotiations
- Internal UCLA/UCOP review/approvals

Complex Award Processing

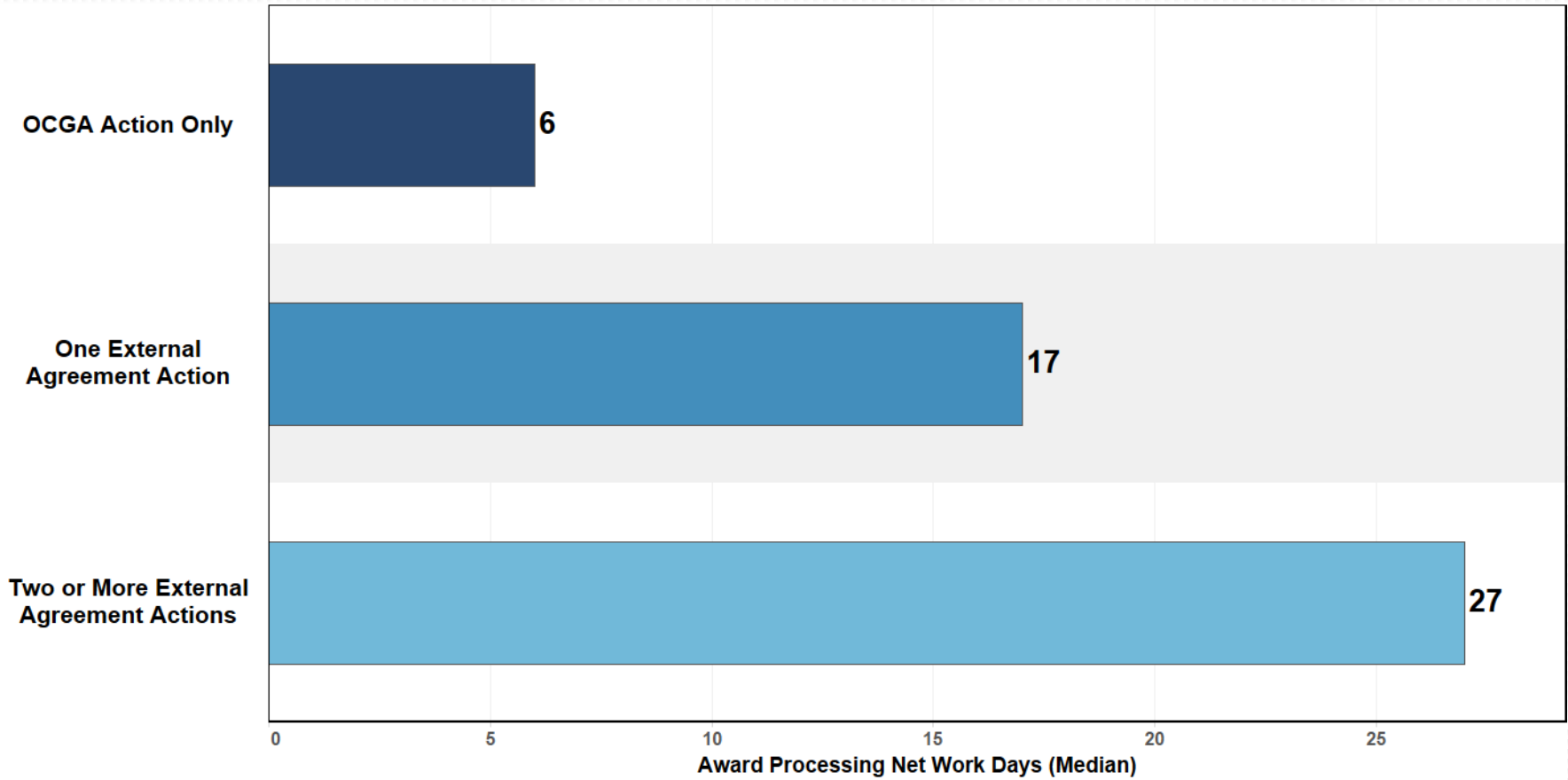
FY16



- Complex awards require increased interaction outside OCGA.

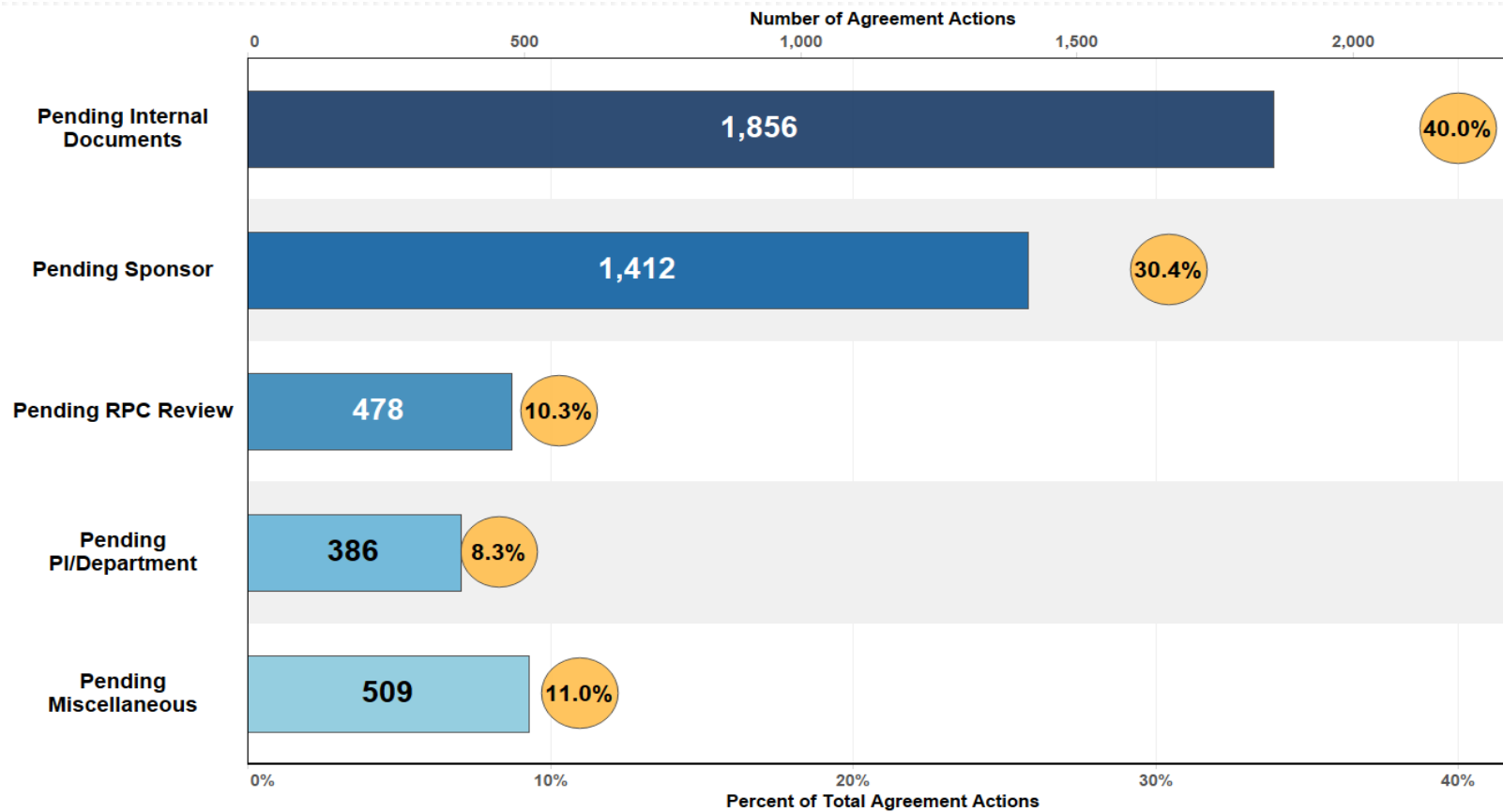
Complex Award Processing

FY16



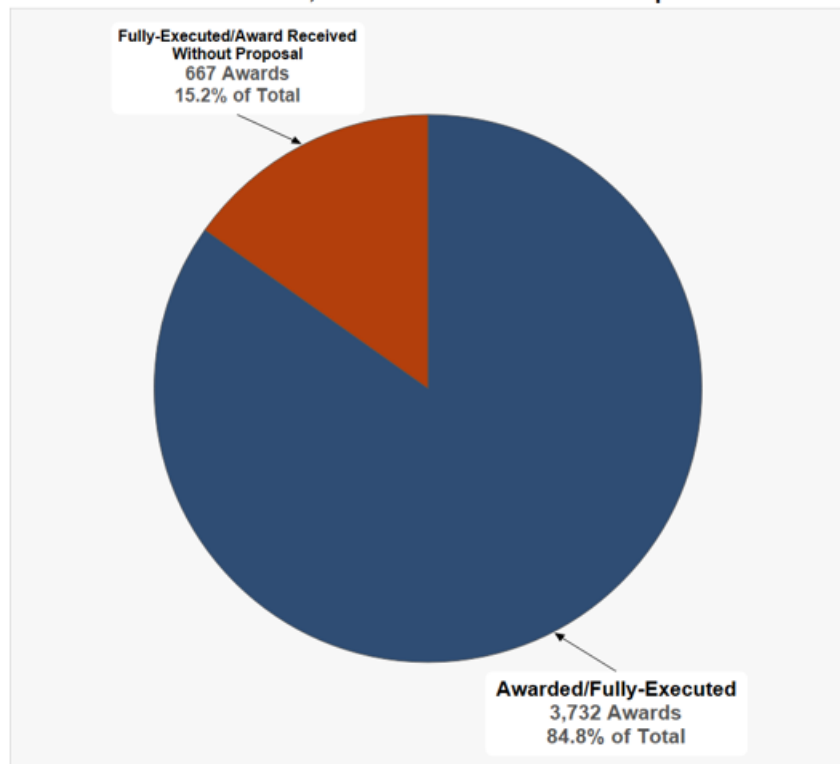
Common Agreement Statuses

FY16

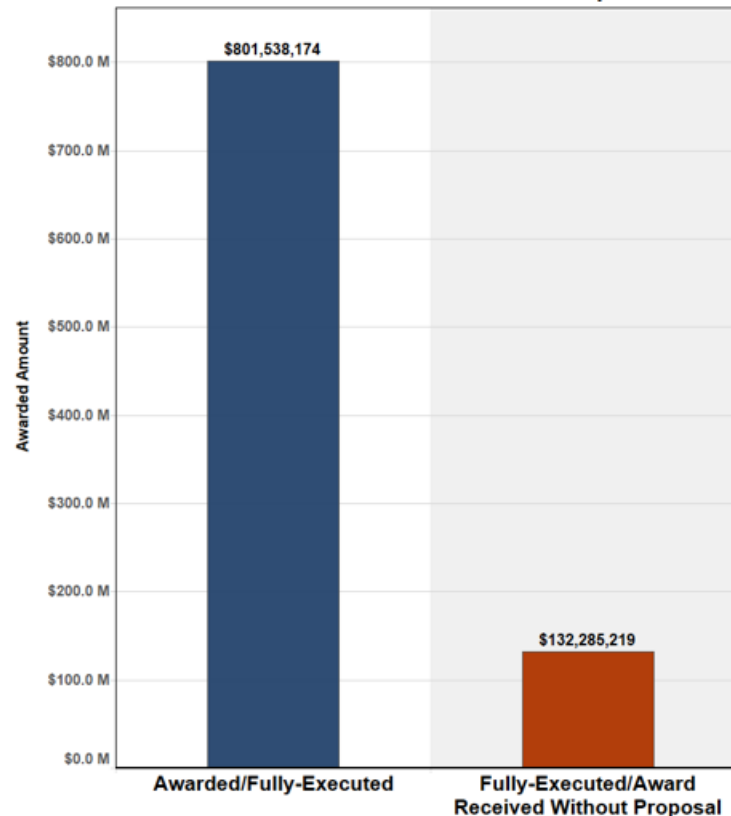


Awards Received without Proposal FY16

FY 2016 Awards, Received With or Without Proposals



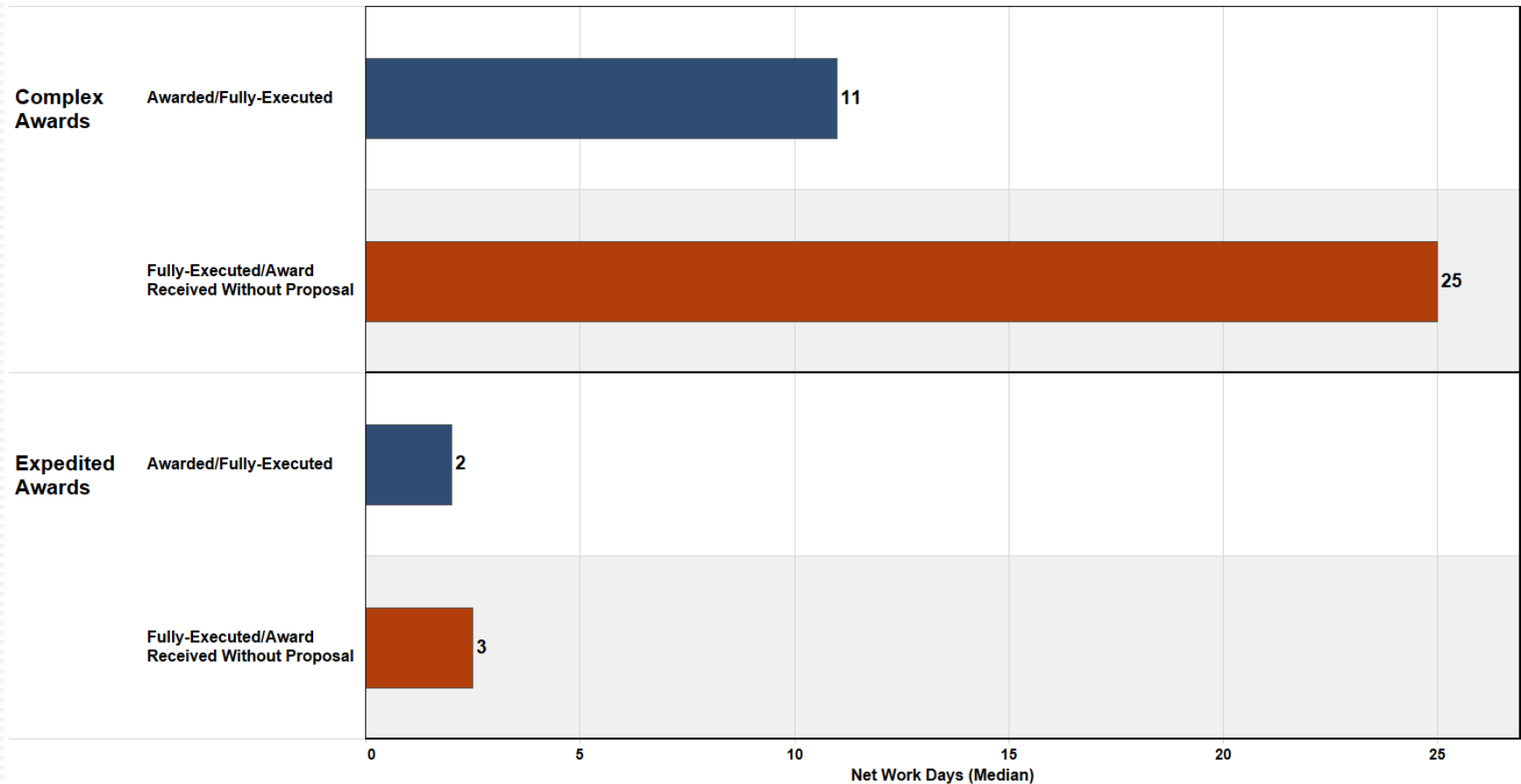
FY 2016 Awarded Dollars With or Without Proposals



- Average of 63 awards per month.
- \$132.3M awarded dollars.

Awards Processing Timelines – Award Received *with* versus *without* Proposal FY16

Median WD Update

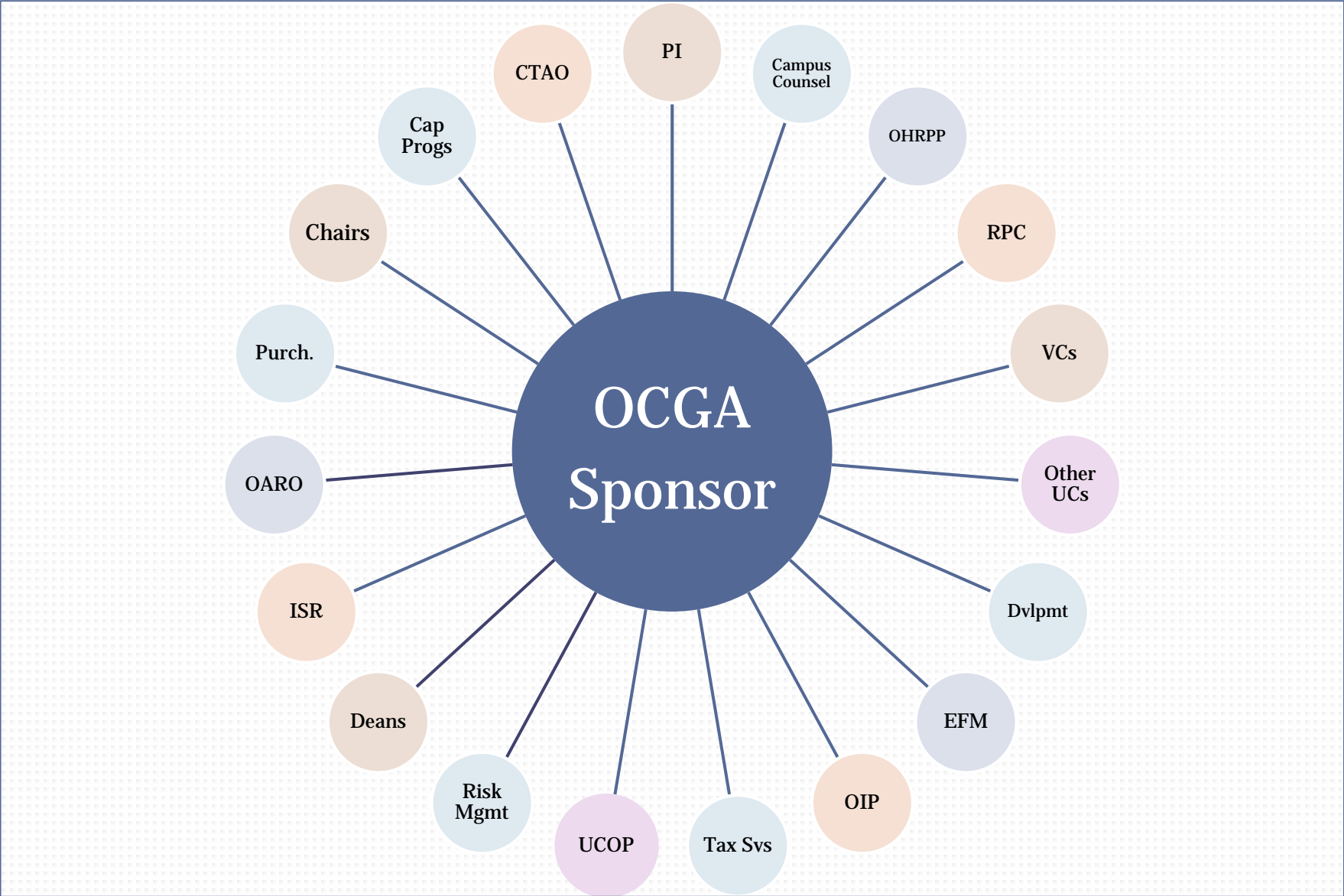


Awards Received without Proposal FY16

- 30% of Charitable/Non-Profit awards received without proposal.
- 15% of all awards received without proposal.

FY 2016 Award Counts by Sponsor Type

Sponsor Type Category	Awarded/Fully-Executed	Fully-Executed/Award Received Without Proposal	Sponsor Type Total
Federal Government	1,949	74	2,023
Business & For-Profit	30	16	46
State & Other Government	127	73	200
Higher Education	804	167	971
Charitable & Non-Profit Organizations	822	337	1,159
Overall Total	3,732	667	4,399



Staff Updates

NEW Staff:

- Tameka Pratt – Grant Analyst
Program Assistant with the Department of Justice. Expertise in pre- and post- award activities including review of grant LOIs and proposals, monitoring expenditures and financial statements, and reviewing federal grants and cooperative agreements.
- Tawnya Charters – Grant Analyst
Harvard University Graduate School of Arts and Sciences. NSF proposal experience, managed NSF fellowship grants supporting over 350 fellows.
- Emery Ham – Proposal Intake Specialist
Quality Assurance Administrative Assistant/Coordinator at a radiological diagnostic firm. Extensive data creation, review and audit expertise.

Questions?