

# Today's Topics

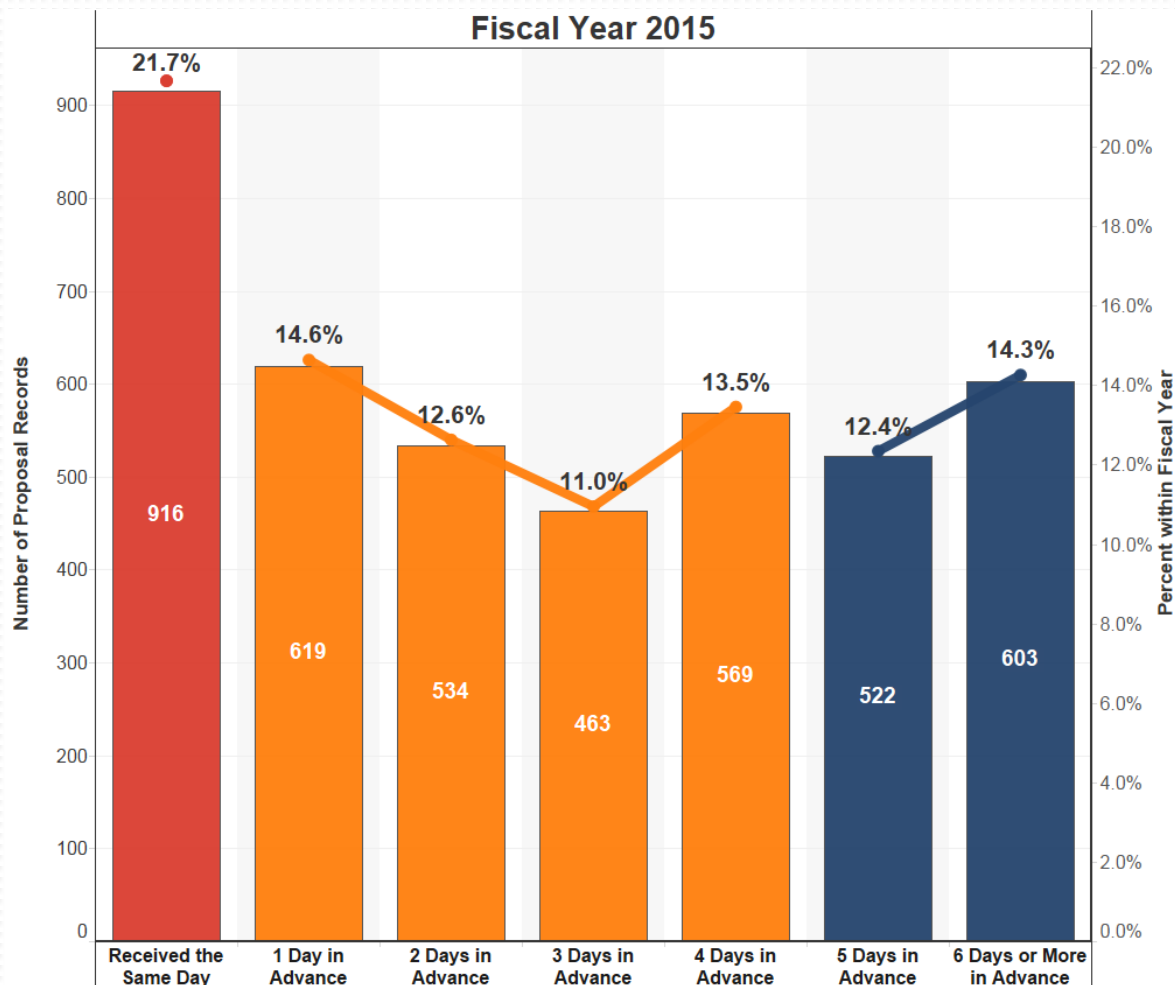
- OCGA – Patti Manheim
  - *Year in Review – 2015*
  - *Proposal Processing*
  - *OCGA Updates*
  - *OCGA Office Hours*
- *FDP Updates – Kathy Kawamura*
- NSF revised version of the NSF [\*Proposal & Award Policies & Procedures Guide\*](#) – *Evan Garcia*
- *CIRM 2.0 Guidelines – Heather Winters*

# Transaction Volume for OCGA FY2015

Transaction Type	OCGA		UCLA	
	Count	Dollars	Count	Dollars
Proposal Records	4,922	\$3,702,247,249	5,470	\$3,815,359,119
Award Transactions	4,609	\$926,106,515	5,648	\$1,033,159,101
Outgoing Subawards	891	\$103,570,986		

Average of 20 proposal submissions and 19 award transactions per working day

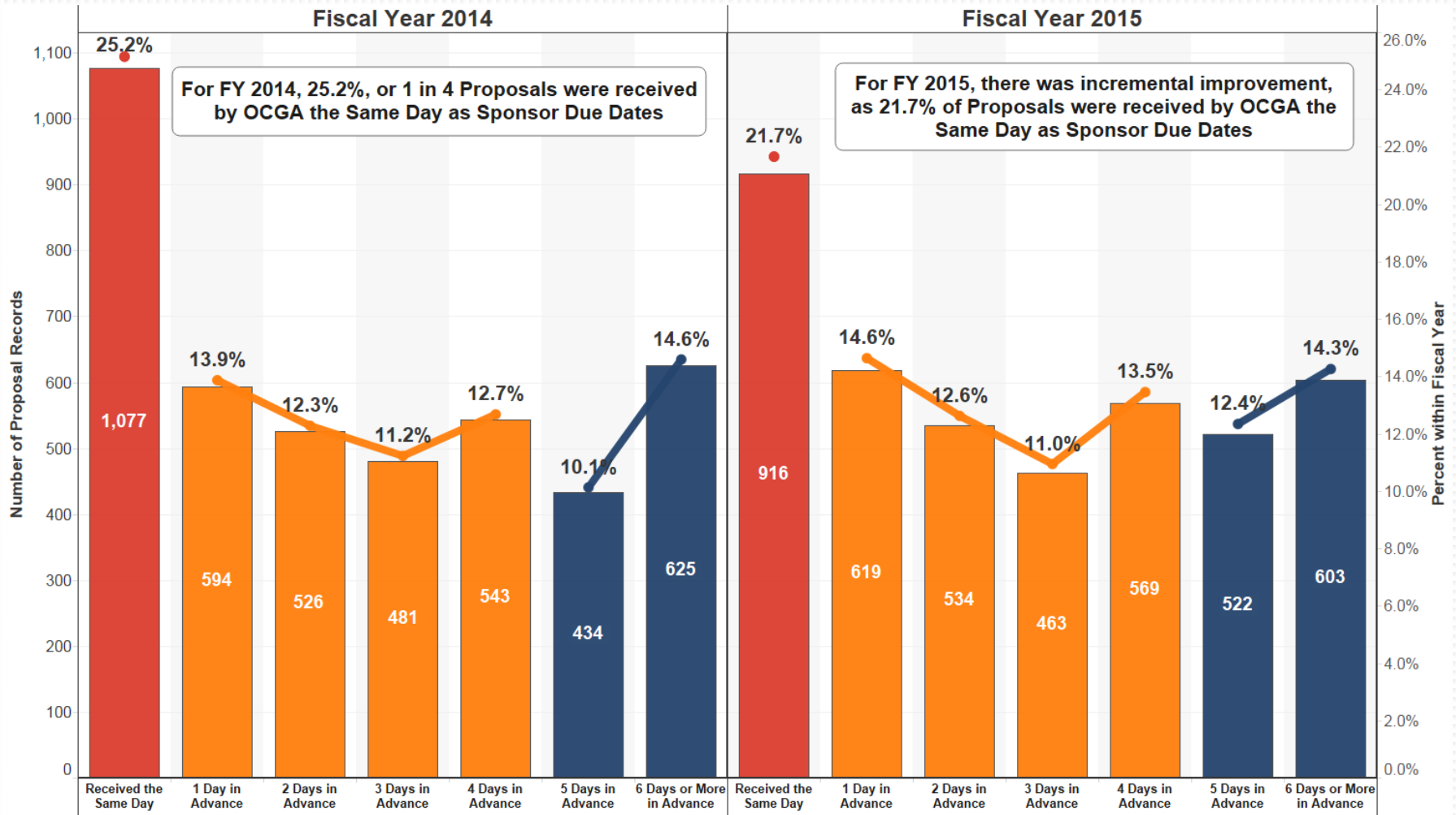
# Receipt of Proposals in Advance of Deadline - FY15



- 21.7% or over 1 in 5 Proposals were received the **Same Day** as the Sponsor Due Date
- 48.9% or 1 in 2 Proposals were received **2 Days or Less** in Advance of Sponsor Due Date

*Excludes CTAO & OIP ISR Proposals*

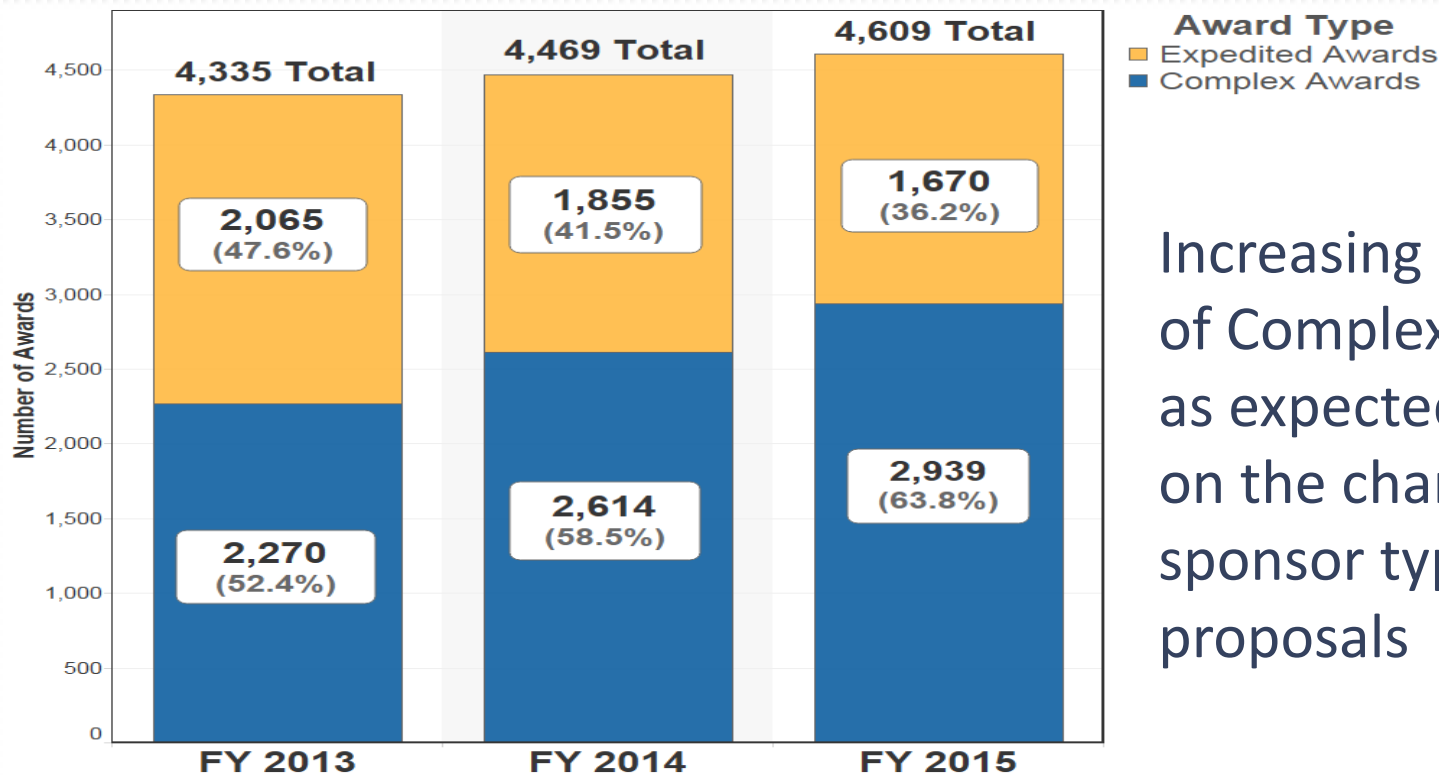
# Receipt of Proposals in Advance of Deadline – FY14 versus FY15



*Excludes CTAO & OIP ISR Proposals*

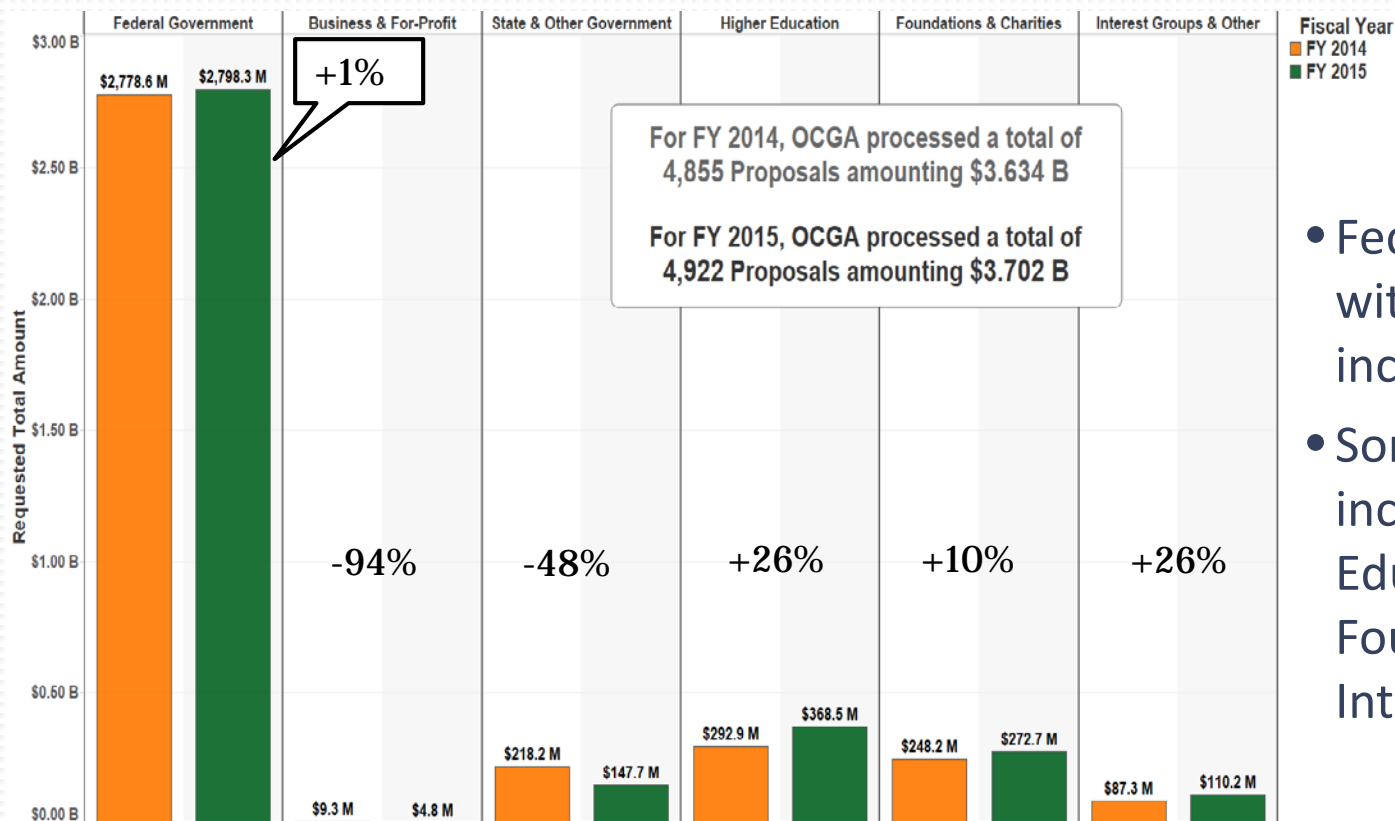
# Expedited versus Complex Awards - FY13 to FY15

- FY 2015, Complex Awards received was 63.8%, 11.4% increase from FY13 (52.4%).



Increasing number of Complex Awards as expected based on the changes in sponsor types for proposals

# Proposed Dollars by Sponsor Type – FY14 versus FY15

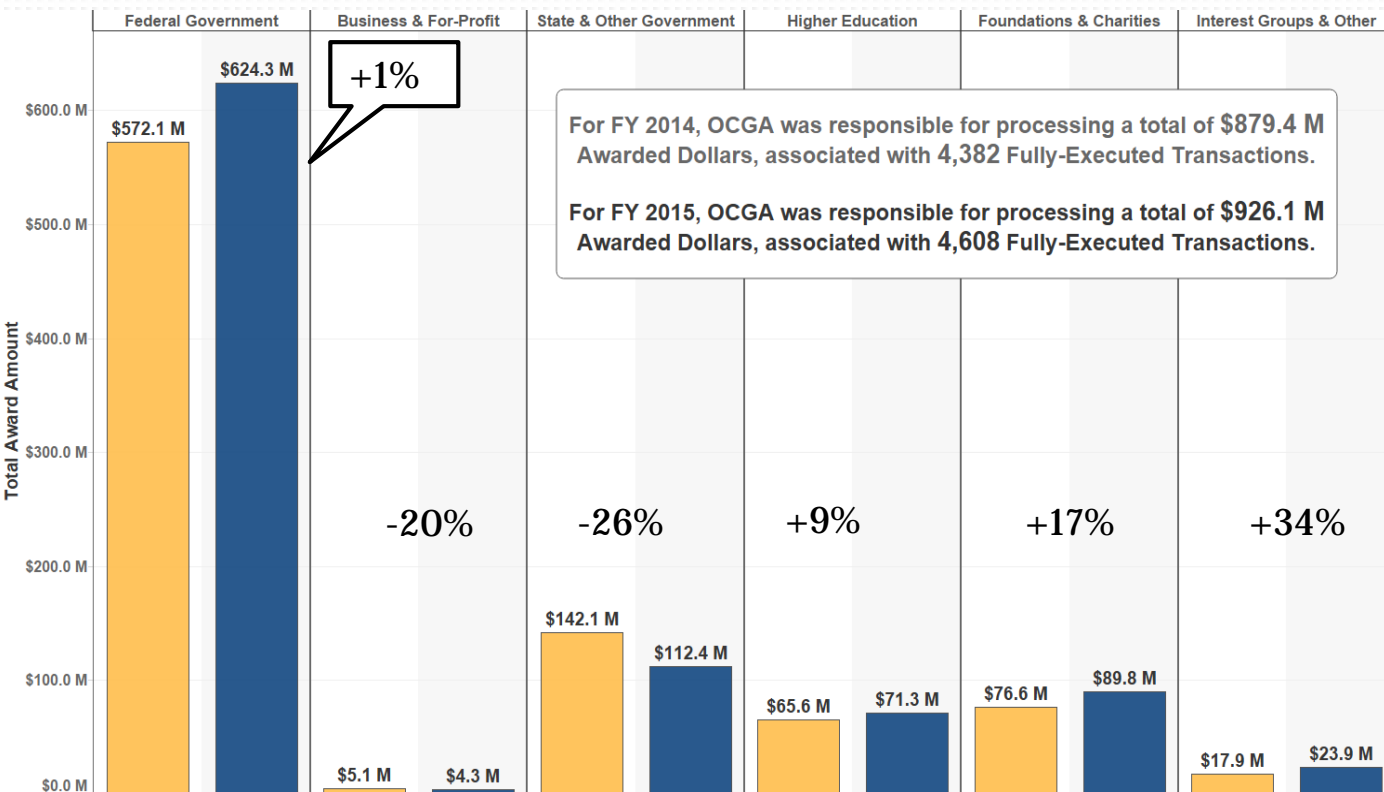


- Federal: steady with very slight increase
- Somewhat higher increase in Higher Education, Foundations, and Interest Groups

Less routine  
Higher Complexity

*Excludes CTAO & OIP ISR Proposals*

# Awarded Dollars by Sponsor Type - FY14 versus FY15

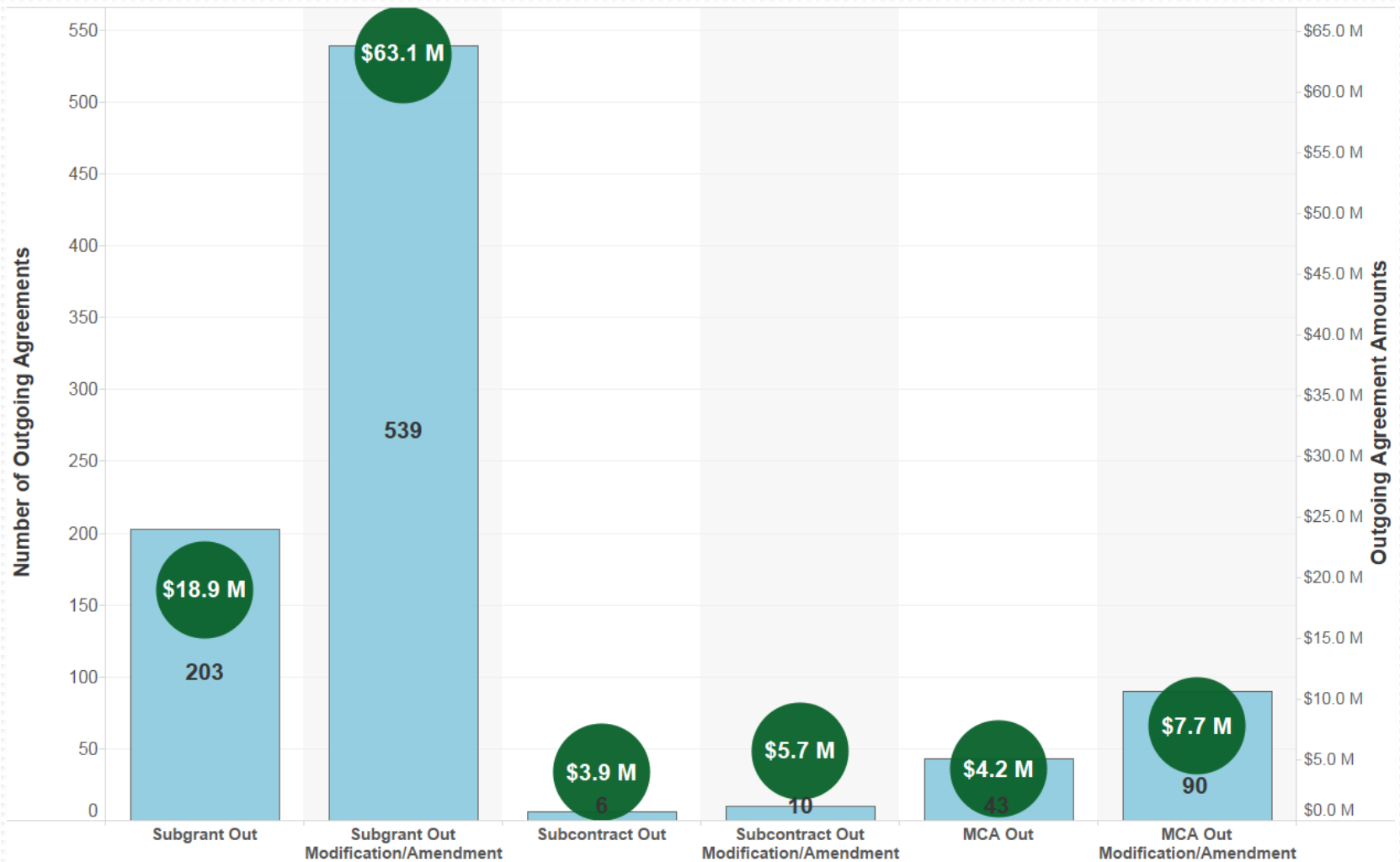


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<b>FY 2015 Total Number of Outgoing Agreements</b>	<b>FY 2015 Total Outgoing Agreements Amount</b>
<b>891</b>	<b>\$103,570,986</b>

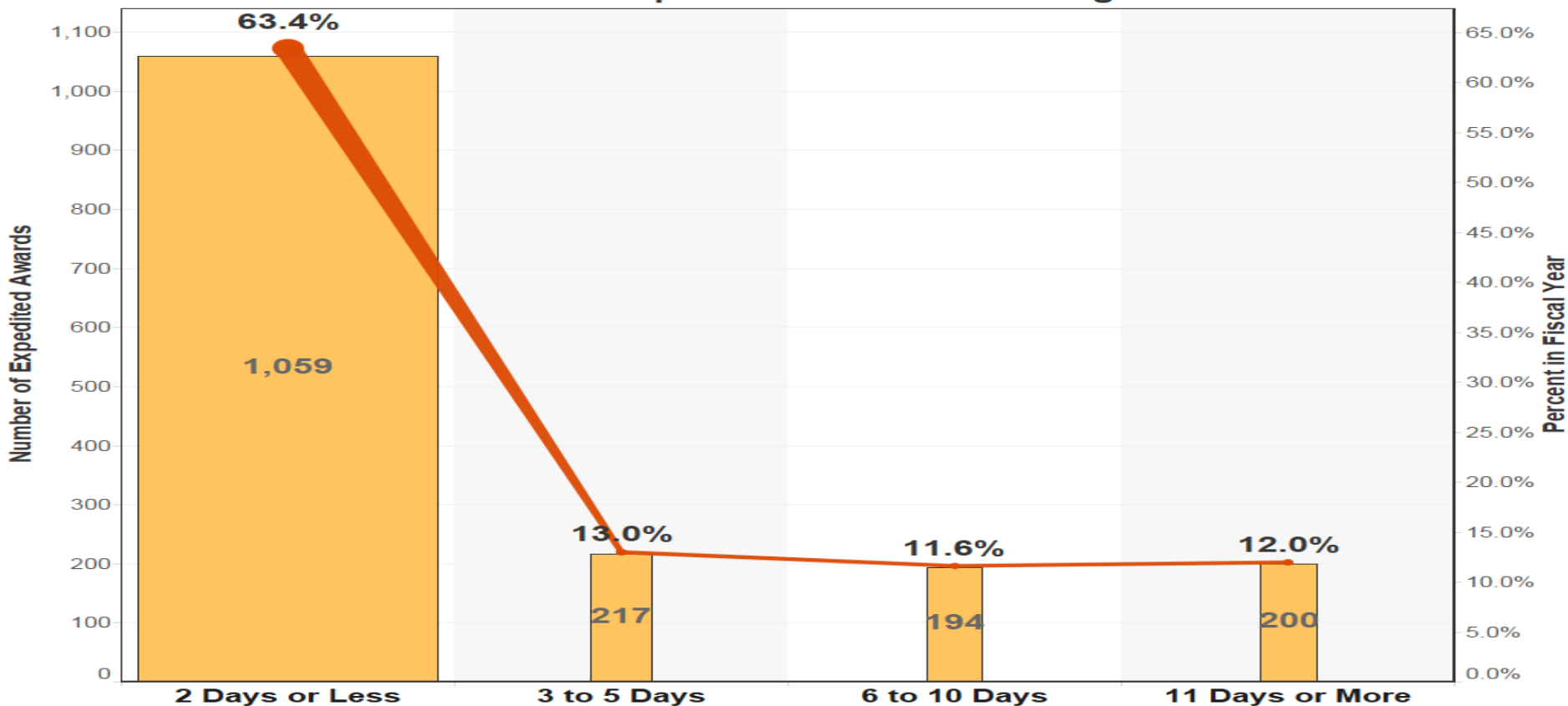




# FY 2015 Expedited Awards Processing

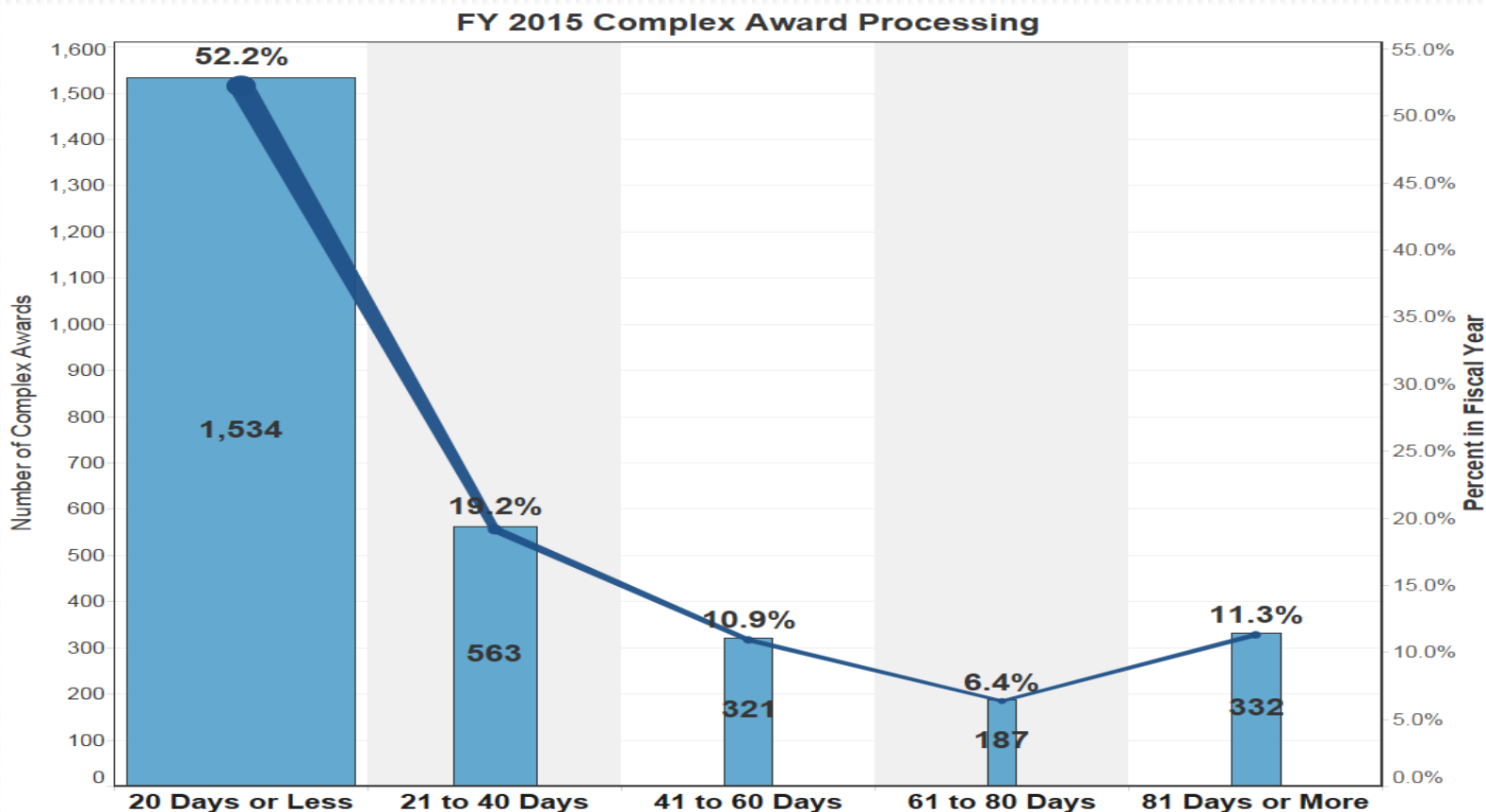
- 63.4% processed in two work days or less
- 76.4% processed in 5 work days or less

FY 2015 Expedited Award Processing



# FY 2015 Complex Awards Processing

- 52.2% processed in 20 work days or less
- 71.4% processed in 40 work days or less



# OCGA Accomplishments

## Proposal Intake Team is IN!

- Central point of contact for near immediate notification of receipt of all incoming proposals using standard
- Communications to acknowledge receipt and identify OCGA reviewer assignment.
- Delivery of proposal data within five minutes to the PI Portal and within 24 hours to the ORA Portal.
- Effective management of proposal review within OCGA and better coverage to provide feedback/response to campus.
- Accurate, real-time record reporting.
- Proposal Intake Team is “in” every day.

# OCGA Accomplishments

## Improved Faculty Access to Funds

- Further reduced the average turnaround time for expedited award processing from four days to two days
- Improved procedures for interacting with Research Policy and Compliance (RPC) in managing PHS Financial Conflict of Interest disclosures.
- Between March 2013 and February 2014, expedited awards were executed in 5 work days (median) by OCGA.
- Following the RPC process revision, and between March 2014 and June 2015, the turnaround for expedited awards fell to two work days (median).

## F&A Exception Process

- Less than our federally negotiated F&A rate
- Campus level review and approval process for F&A Exceptions
- Reduced administrative burden eliminated several layers of approval
- Reduced proposal and award processing times

# OCGA Accomplishments

## Training and Development:

- Lead efforts to formalize standard operating procedures.
  - Design, develop, and implement a comprehensive OCGA Training and Skill Development Program.
  - Advance outreach efforts to campus to identify training needs.
  - Formulate a program for preparing, monitoring and assessing Departmental Research Associates (DRAs).
  - Establish *UCLAocga* Twitter® account to increase social media presence.
- Implemented the OCGA Master Training Calendar to provide monthly, process-focused training sessions to the campus research community.

# OCGA Accomplishments

## New Federal “Uniform Guidance”

- Continuing involvement with the UC system-wide and UCLA Uniform Guidance workgroups
  - Review new regulations
  - Develop UC and UCLA-specific guidance
  - Presented preliminary guidance at December 2014 RAF
  - Developed new forms and presented to various campus audiences

# OCGA Review of Proposals Received 5 or More Days in Advance of Sponsor Deadline

- OCGA review of internal processes and feedback from campus
  - Incentive to submit early
- Effective December 1<sup>st</sup> - OCGA will provide a first review response within 12 business hours
- Systematically track and report first response date and time

# OCGA Staff Updates

- Kathy Kawamura – Assistant Director Grants
- Tac Phung. 4.20.15 Grant Analyst - the National Defense University Washington DC.
- Yushan Lin. 9.8.15 Contract Specialist - Records Management Specialist.
- Jasen Okunnuga. 9.8.15 Contract Specialist - extensive background in Social Service.
- Umami Sayers. 9.8.15 Grant Specialist - fiscal management and Junior Grants Manager - Harvard Medical School.
- Safiah Afify. 9.15.15 Grant Analyst - Assistant State's Attorney - Palm Beach County Florida.



# OCGA's Business Hours During Thanksgiving Holiday and Winter Closure

- OCGA will be closed beginning at 5:00 PM on Wednesday, November 25, 2015 through Friday, November 27, 2015. Reopen on Monday, November 30, 2015.
- OCGA will be closed beginning at 1 PM on Friday, December 18, 2015. Reopen on Monday, December 21, 2015.
- OCGA will be closed beginning at 5 PM on Wednesday, December 23, 2015, for the 2015-16 Winter Holiday Closure. Reopen on Monday, January 4, 2016.
- If you have a proposal and/or award which must be submitted to the sponsor on November 26 – November 29, December 18, or December 24 – January 3, please work with your OCGA Analyst/Officer to have the proposal and/or award submitted/processed during the dates and times the OCGA office is open.

# Questions?