



UCLA

Office of Contract and Grant Administration

NSF 2016 Proposal & Award Policies and Procedures Guide

Key Updates

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2016 PAPPG

- <http://www.nsf.gov/pubs/policydocs/pappguide/nsf16001/>
- Overview of changes:
<http://www.nsf.gov/pubs/policydocs/pappguide/nsf16001/sigchanges.jsp>

2016 PAPPG

- Effective on January 26, 2016
 - Proposals due on January 26 and thereafter
 - Awards made on January 26 and thereafter

Updates to the PAPPG

- NSF policies
- Uniform Guidance implementation
- Proposal guidelines
- Award management
- Public Access Policy preview

NSF Policies

- 5:00pm deadline – proposals submitted **one second after 5:00pm will not be accepted.**
 - If submitting via grants.gov (Cayuse), program officials will be checking receipt times
- Formatting consistency - NSF is no longer allowing directorates and program offices to specify different type size, margins, or spacing requirements.

Uniform Guidance implementation

- Budget guidelines referencing 2 CFR 200
 - Administrative & Clerical Salaries & Wages
 - Participant Support Costs
 - Visa costs

Proposal guidelines

Cover Sheet

- International Activities Country Names
 - For any international conferences (foreign travel)
 - Research/training/education carried out in cooperation with foreign counterparts, even in US

THIS PROPOSAL INCLUDES ANY OF THE ITEMS LISTED BELOW

- BEGINNING INVESTIGATOR (GPG I.G.2)
- DISCLOSURE OF LOBBYING ACTIVITIES (GPG II.C.1.e)
- PROPRIETARY & PRIVILEGED INFORMATION (GPG I.D, II.C.1.d)
- HISTORIC PLACES (GPG II.C.2.j)
- VERTEBRATE ANIMALS (GPG II.D.6) IACUC App. Date _____
 PHS Animal Welfare Assurance Number _____
- FUNDING MECHANISM **Research - other than RAPID or EAGER**

HUMAN SUBJECTS (GPG II.D.7) Human Subjects Assurance Number _____
 Exemption Subsection _____ or IRB App. Date _____

INTERNATIONAL ACTIVITIES: COUNTRY/COUNTRIES INVOLVED (GPG II.C.2.j)

UK FI

COLLABORATIVE STATUS

Not a collaborative proposal

Proposal guidelines

Project Summary

- Clarification that only summaries requiring *special characters* may be uploaded as a Supplementary Document

Project Description

- Results from Prior NSF Support covers awards with a start date in the past 5 years. Covers *all* NSF awards

Proposal guidelines

Collaborators & Other Affiliations

- Now a *separate stand-alone single-copy document, not part of biosketch.*
- Total number of collaborators and students no longer required

Biographical Sketch

- Remains 2 pages.
- “Give us everything we ask for; don’t give us anything we don’t” (Awards, honors, conferences)

Proposal guidelines

Current and Pending Support

- Internal funds allocated toward specific projects must now be included

Biosketches & Current and Pending can no longer be uploaded in one file for all personnel.

Proposal guidelines

Letters of Collaboration

- Must now follow a specified format.
- Reminder that unless required by a solicitation, letters of support should not be submitted.

Proposal guidelines

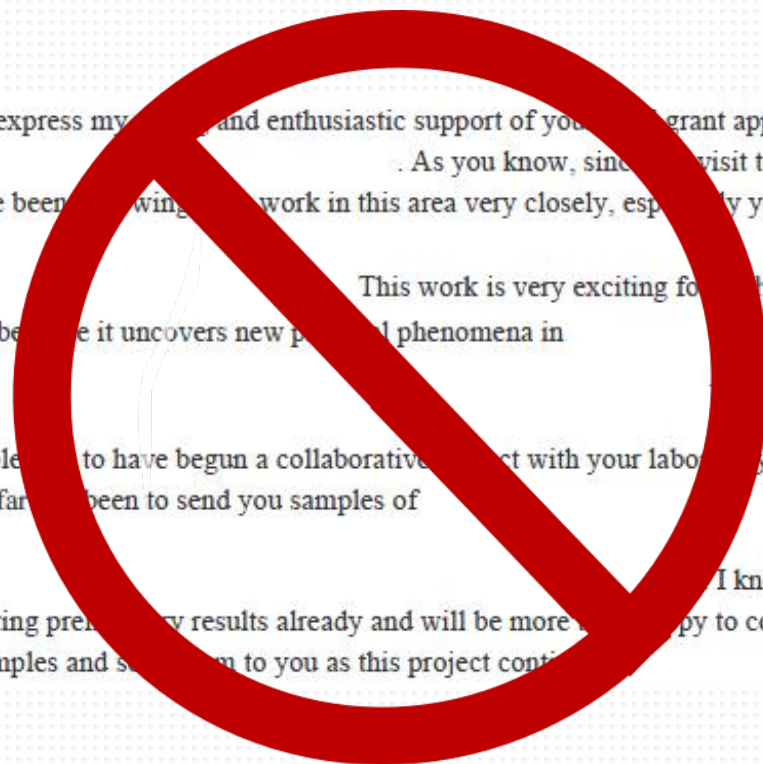
Letters of Collaboration

I am writing to express my [redacted] and enthusiastic support of your [redacted] grant application on the topic of [redacted]. As you know, since [redacted] visit to UCLA last February, I have been [redacted] following [redacted] work in this area very closely, especially your upcoming paper titled [redacted]

[redacted] This work is very exciting for [redacted] me and the community because it uncovers new [redacted] phenomena in [redacted]

I am also very pleased to have begun a collaborative [redacted] project with your laboratory. My contribution so far [redacted] has been to send you samples of [redacted]

[redacted] I know that you [redacted] have some exciting preliminary results already and will be more [redacted] happy to continue to prepare new samples and send them to you as this project continues.



Proposal guidelines

Letters of Collaboration

If the proposal submitted by Dr. [full name of PI] entitled [proposal title] is selected for funding by NSF, it is my intent to collaborate and/or commit resources as detailed in the Project Description or the Facilities, Equipment or Other Resources Section of the proposal.

Proposal guidelines

Proposals involving Vertebrate Animals

- Information now required as part of Project Description
 - 1 – rationale for involving animals
 - 2 – choice of species and number of animals to be used
 - 3 – description of the proposed use of the animals
 - 4 – exposure of animals to discomfort, pain, or injury
 - 5 – description of any euthanasia methods to be used

Award management

Requests & Notifications

- Continue to be migrated to Research.gov.
- No-cost Extensions – late requests must include explanation why they were not submitted earlier

Award management

Final Project Reports and Project Outcome Reports

- Now due *120 days* following the grant end date, to be consistent with financial reporting

NSF Public Access Policy

NSF now has a Public Access Policy, similar to that published by NIH.

- Not an immediate implementation
- Applicable to awards resulting from proposals submitted under the 2016 GPG – first set of awards for such awards likely in June/July 2016 at the earliest

NSF Public Access Policy

Applies to:

- Journal articles and juried conference papers resulting wholly or partially from NSF support

How to comply:

- PIs will be responsible for depositing publications in NSF's system within 12 months of date of publication

NSF Public Access Policy

Where to deposit:

- NSF Public Access Repository, a new system developed in conjunction with the Dept. of Energy and hosted by DOE.
- NSF-PAR will go live in late 2015
- PIs will be able to start depositing publications after launch

NSF Public Access Policy

Integration with reporting

- NSF-PAR will be connected to Research.gov, list of publications will be present when preparing reports in Research.gov for compliance.

Stay tuned for more Public Access updates

QUESTIONS