
NIH Subaccount Transition Update

April 9, 2015

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Mr. President asks the team at NIH, “How is the spending coming along, NIH?”



“Billions of dollars are allocated to research funding, and I’d like to know the figures as of April 15, 2015,” the President adds.



The NIH accountants scramble away at their desks, and tell him politely...

Mr. President:

Please wait 3 Months..!! We get our
figures quarterly.

Have a nice day.! 😊

- NIH

Agenda

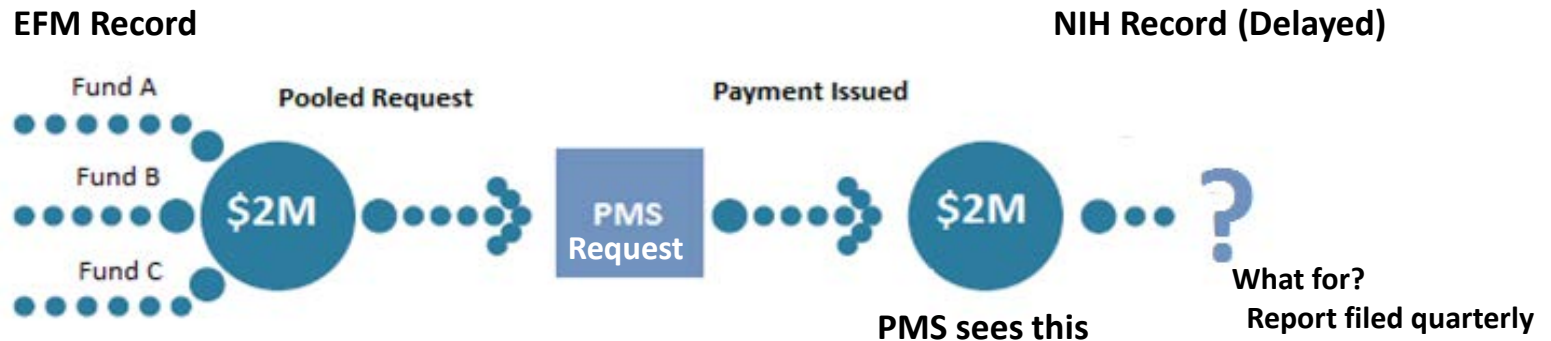
- Overview/Background
- EFM Goals for effective transition
- Pooled & Sub Account Methodology
- NIH Letter of Credit Population
 - NIH Breakdown
 - Transition Population
 - Month
 - SNAP vs. Non-SNAP
 - Department
 - Non-SNAP (by Department)
- Communication & Action Steps
- Milestones

Background



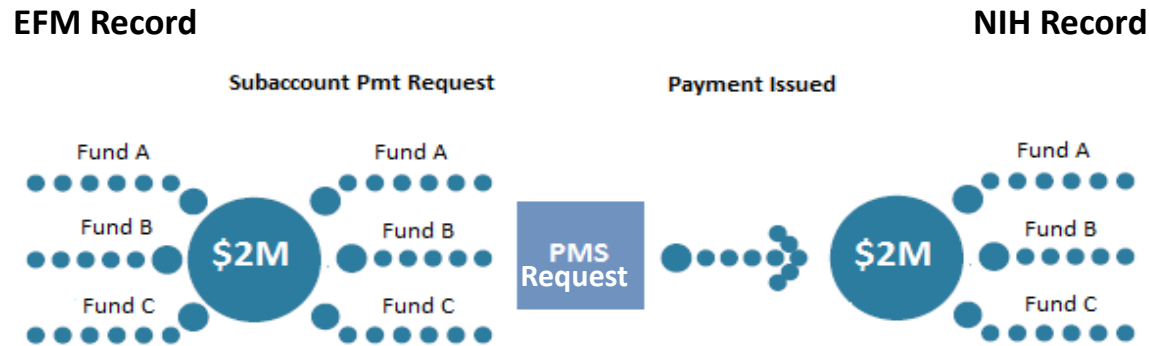
- Department of Health and Human Services is requiring transparency for all LOC financial activity and in a more readily fashion.
- Payment Management System team must request campuses to transition LOC awards under Pooled account to the Sub-account
- Transition is effective 10/1/15 & expected to be completed by 9/30/16.

Pooled Account Process (PMS Account)



- A single dollar amount is requested for all NIH Awards with unreimbursed expenses.
- NIH does not have visibility to the current activity of an award.
- Disbursements are updated upon the submission of a quarterly report.

Sub Account Process (PMS Account)



- Sub account will enable each award to be drawn at the award level.
- NIH is able to monitor the most current expenditures and activity for an award each time a request is submitted.

EFM Goals



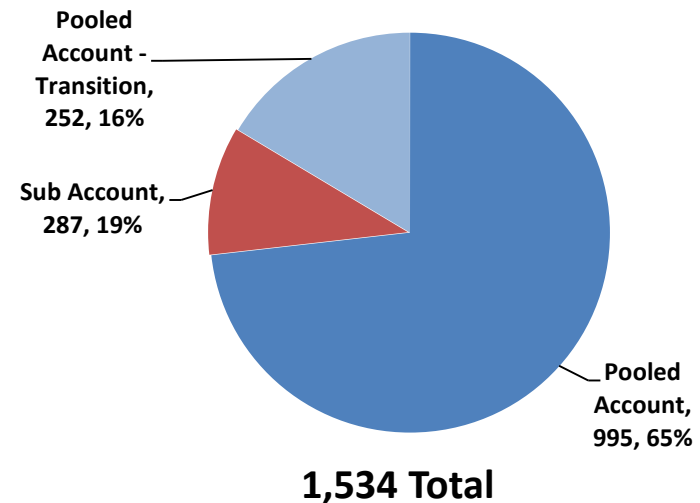
- Identify all NIH active awards currently reimbursed through LOC
- Identify the awards that are subject to transition from the pooled to sub-account
- Identify the awards that will retire/close/sunset under the pooled account
- Determine effective way to transition awards in the pooled account to sub-account by:
 - Identifying awards under the SNAP
 - Identifying awards under Non-SNAP
- Prepare campus for the transition process

NIH LOC Award Breakdown

- As of 12/31/2014, UCLA has 1534 NIH awards
 - 287 awards are **currently in the sub-account** method
 - 1247 NIH awards are being managed through the Pooled Acct.
 - 995 Will not transition to sub-accounting
 - **252 - will transition to sub account**

Account Type	Count	%
To Close Off in Pooled Account	995	80%
To Transition to Sub Account	252	20%
Total: Pooled Account (1460G)	1,247	81%
Total: Sub Account (1460P)	287	19%
Total Population: NIH/DHHS	1,534	100%

NIH Award Breakdown



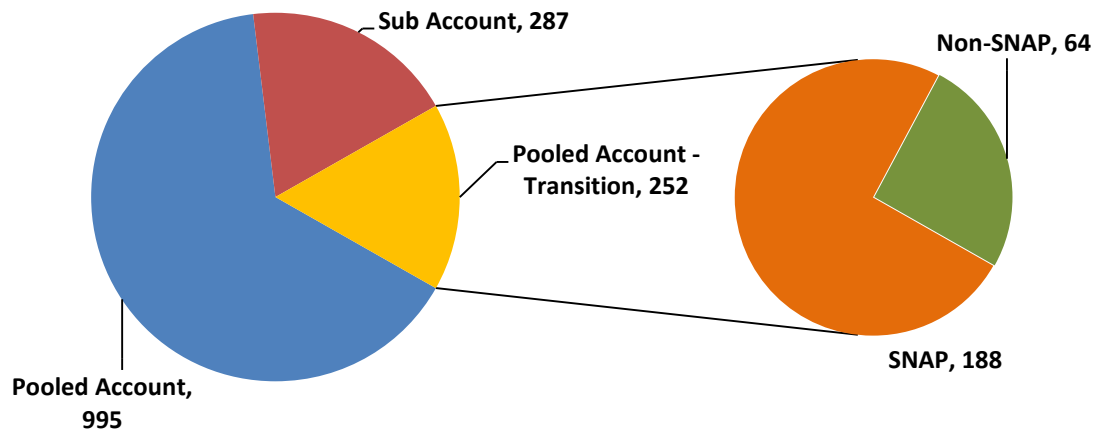
NIH Breakdown – Transition Awards

- Of the total NIH population, 252 funds will transition to Sub Account
- The 252 funds then break down into 2 sub populations:
 - SNAP Awards: 188 funds
 - Non-SNAP: 64 funds

Total Transition Population - SNAP vs. Non-SNAP

Account Type	Count	%
Pooled Account	995	64.86%
Pooled Account - Transition	252	16.43%
Sub Account	287	18.71%
Total	1,534	

Account Subtype	Count	%
SNAP	188	74.60%
Non-SNAP	64	25.40%
Total	252	



Population – SNAP vs. Non-SNAP



- SNAP & Non-SNAP will carry distinct closeout processes
 - SNAP awards will require an interim financial report to close out the Pooled portion, and assist in the transition to Sub Account portion (G/L based)
 - Non-SNAP awards will require a COP from department to assist EFM in filing the interim financial report, and processing final draw under the pooled account. Departments to receive advance new fund number.

Population – SNAP vs. Non-SNAP

- SNAP & Non-SNAP

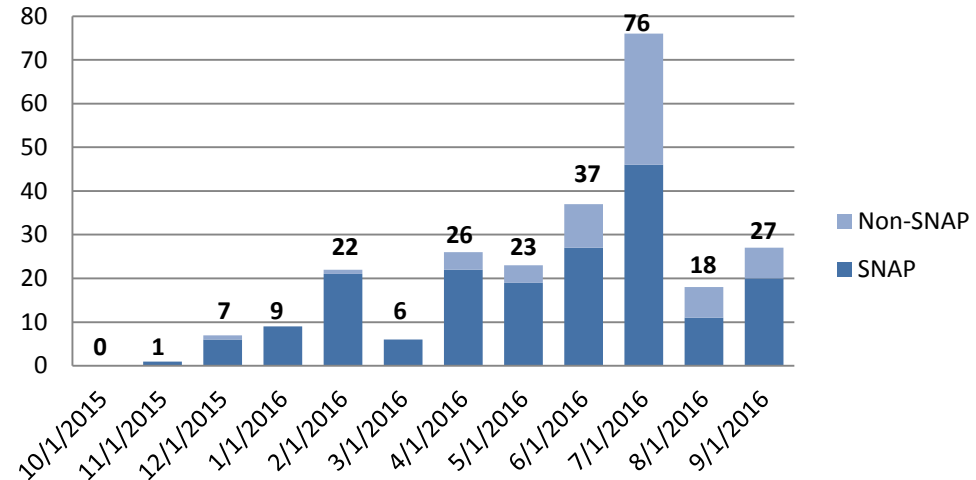
- Timing of Closeout & Forecast Breakdown

- # of awards
- Per Month

Timing of Closeout

Month	Year	Total	SNAP	Non-SNAP
October	2015	0	0	0
November	2015	1	1	0
December	2015	7	6	1
January	2016	9	9	0
February	2016	22	21	1
March	2016	6	6	0
April	2016	26	22	4
May	2016	23	19	4
June	2016	37	27	10
July	2016	76	46	30
August	2016	18	11	7
September	2016	27	20	7
Total		252	188	64

Forecast Breakdown

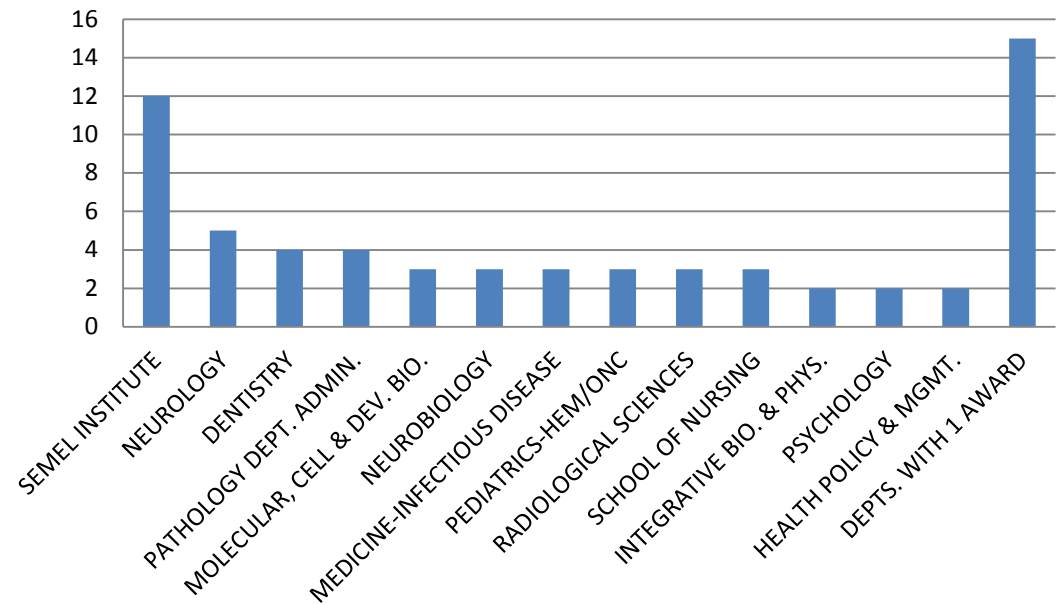


Population – Non-SNAP by Department

- Majority of departments have between 2-5 funds involved with the Non-SNAP transition (requiring COP)
- Semel Institute (NPI) has the most Non-SNAP awards with 12 funds

Dept Name	Count
SEMEL INSTITUTE	12
NEUROLOGY	5
DENTISTRY	4
PATHOLOGY DEPT. ADMIN.	4
MOLECULAR, CELL & DEV. BIOLOGY	3
NEUROBIOLOGY	3
MEDICINE-INFECTIOUS DISEASE	3
PEDIATRICS-HEMATOLOGY/ONCOLOGY	3
RADIOLOGICAL SCIENCES	3
SCHOOL OF NURSING	3
INTEGRATIVE BIOLOGY & PHYSIOLOGY	2
PSYCHOLOGY	2
HEALTH POLICY AND MANAGEMENT	2
DEPTS. WITH 1 AWARD	15
Total	64

Non-SNAP Count (By Department)



Communication & Action Steps – Non-SNAP Awards

- EFM
 - Communication
 - Fund list to be provided to OCGA & ORDM
 - Action Steps
 - ORDM assign new fund number → 90 Days prior to Transition Start Date
- Department
 - Action Steps
 - Closeout Packet to EFM
 - Change PO's
 - Change Recharge ID's
 - Change in Sub-agreements
 - Update EDB for Personnel changes

Milestones

Milestones	Date
Provide Full Count of NIH Population	Complete
Provide List/Breakdown between Pooled and Sub account	Complete
Provide List of Awards to Close under Pooled Account	Complete
Identify Transition funds between SNAP & Non-SNAP Awards	Complete
Communicate with EFM, OCGA & ORDM	Complete
Communication to campus	4/9/15
Discuss funds retiring under the Pooled account with EFM Fund Management teams	In Process

Closing

Key points from this presentation:

Question	Answer
What is going to happen?	Change in draw/report process
Why it's happening?	Federal transparency & timely updates
When it's happening?	10/1/15 – 9/30/16
How it's going to happen?	Based on SNAP & Non-SNAP awards



Questions?