



UCLA

Extramural Fund Management

EFM Updates

Kevin Cook
Interim Director, EFM

Organization Updates

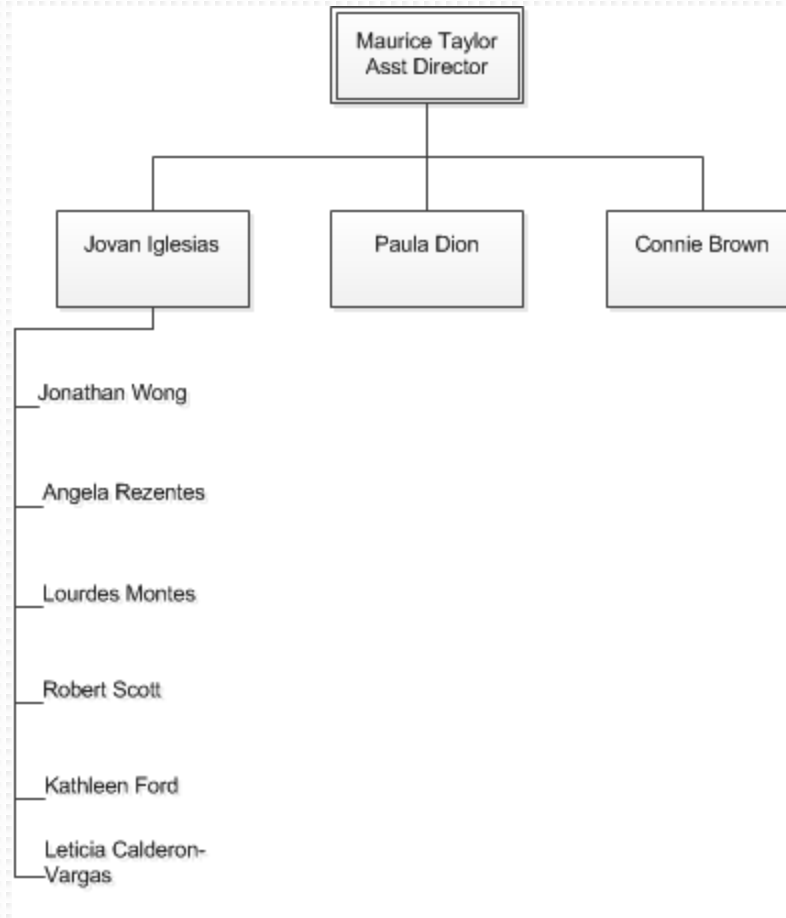
Goals of New Structure

- **Create long term relationships departments.**
- **Streamline existing team structure.**
- **Provide opportunities for advancement and outline a clear career path.**
- **Facilitate consistent practices.**
- **Maximize production output.**
- **Create a more robust and independent team structure.**

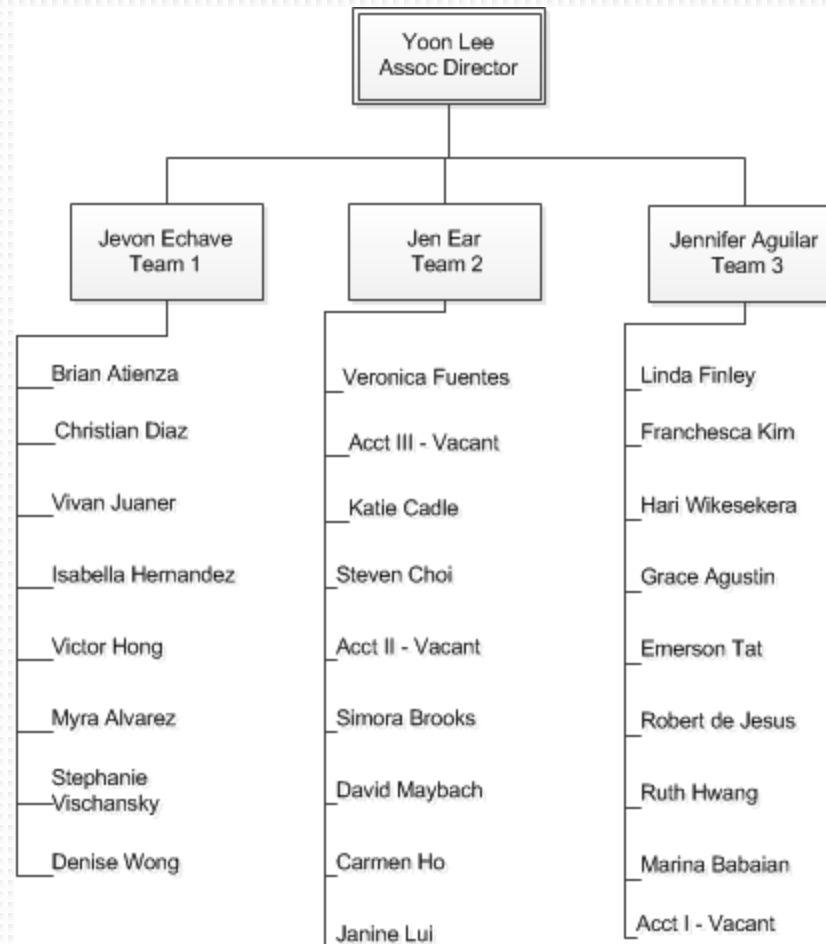
Key Decisions Made

- **Managers**
 - **Jovan Iglesias**
 - **Jevon Echave**
 - **Jen Ear**
 - **Jennifer Aguilar**
- **Accountants**
 - **Franchesca Kim**
- **Team Assignments**
- **Department Assignments**

Cash and Compliance Team



Fund Management Team



PAMS

Agenda

- PAMS Pilot
 - Successes
- Campus Deployment
 - Timeline
 - Scope

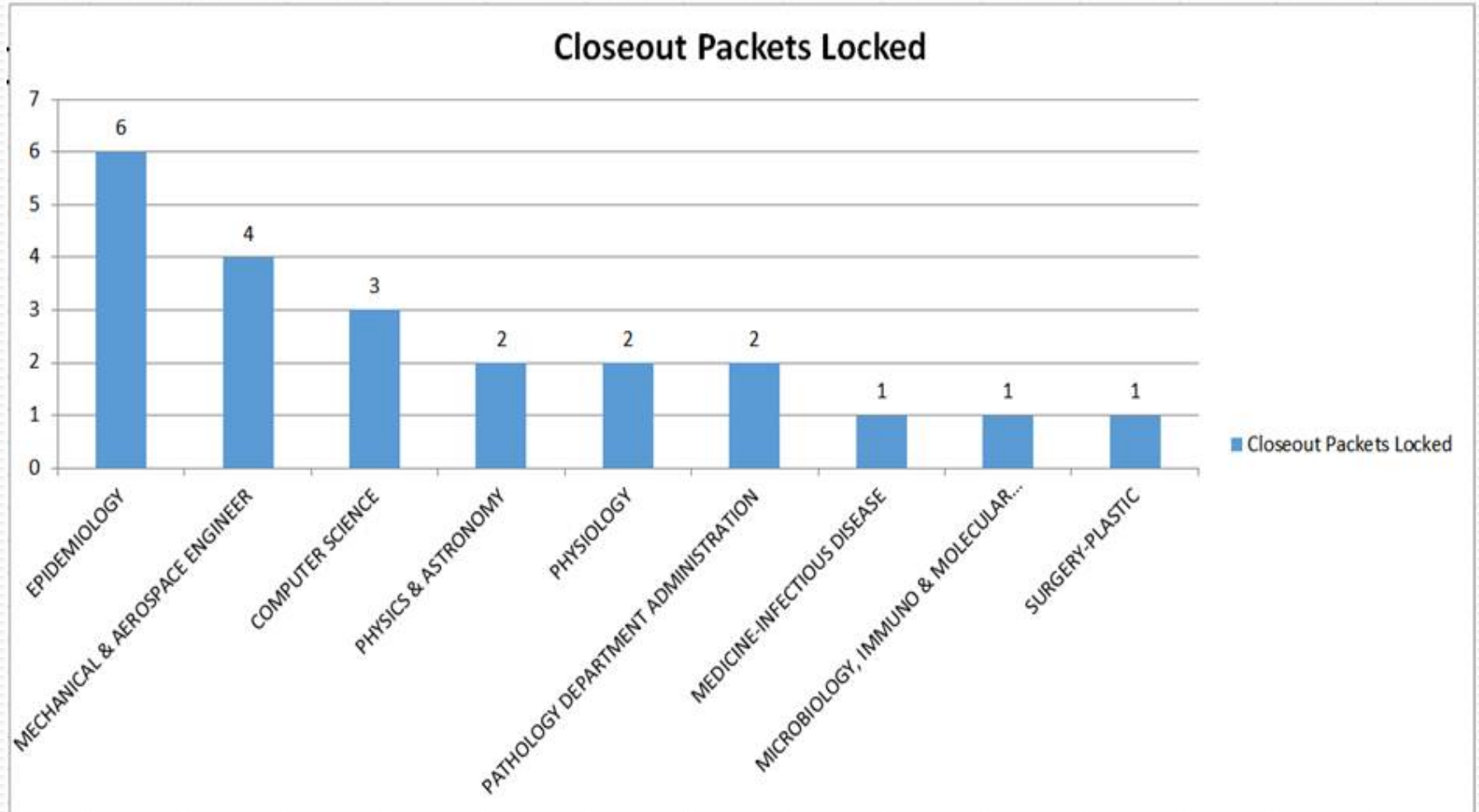
Pilot Success

- **We wouldn't be here without our Pilot users!**
 - Providing feedback
 - Identifying bugs
 - Suggesting enhancements and improvements

Thank You!

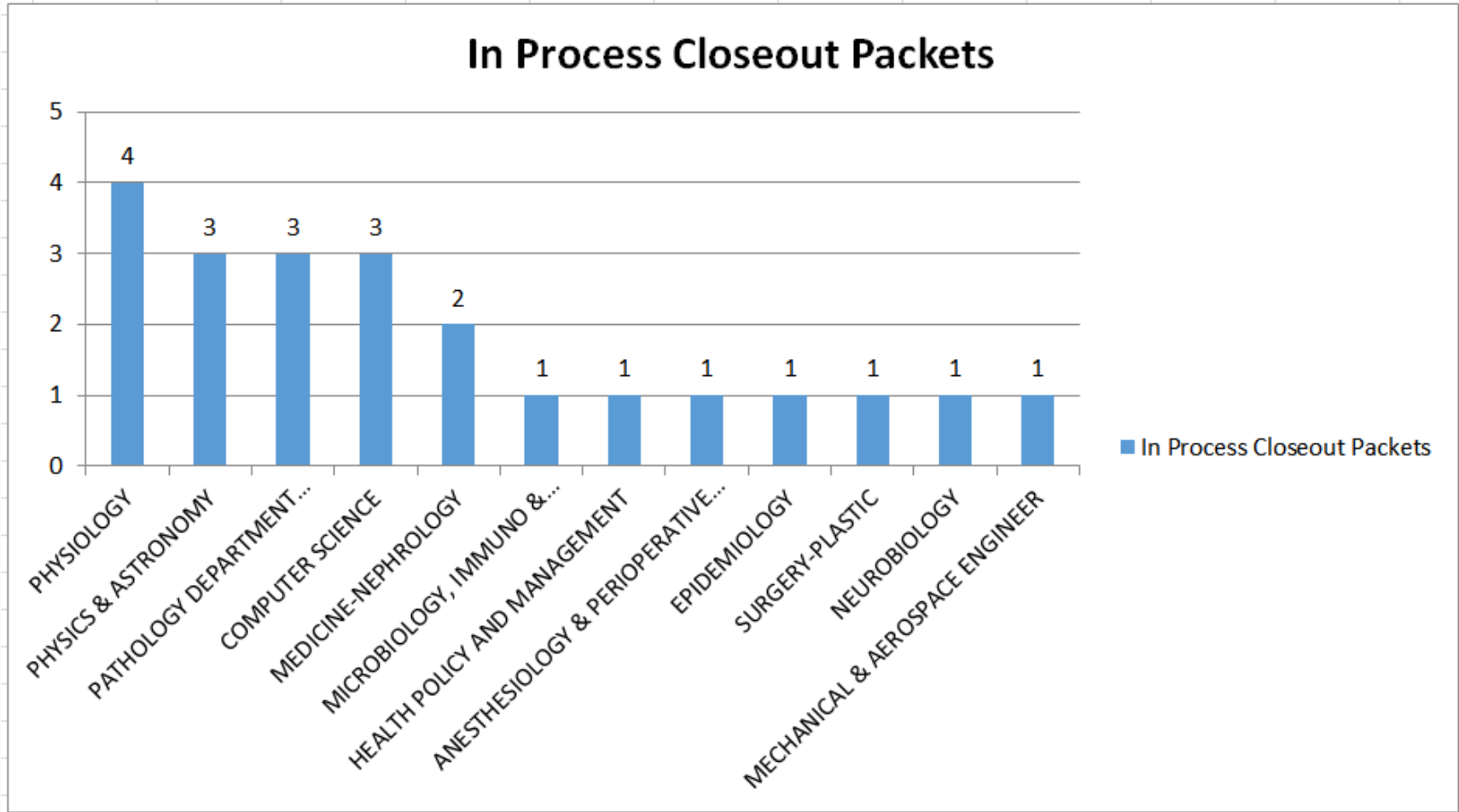
Pilot Success

- 22 Completed Closeout Packets



Pilot Success

- 22 In Process Closeout Packets



Campus Deployment

- Fall/Winter 2014 Release
- Approximately 4 month roll out in multiple waves
- Online training module

Campus Deployment

- Customized worklist for the individual user to prioritize work and monitor progress

The screenshot displays the 'Worklist' section of the EFM system. At the top, there are navigation tabs: 'My Funds', 'Fund Details', 'Deliverables', and 'Administration'. Below these are 'Fund Search' and 'Worklist' tabs. The 'Worklist' tab is active, and a dropdown menu shows 'My Responsibility'. The worklist is divided into four main sections:

- Reports Due to Sponsor:** A total of 9 reports are listed. 6 are 'Upcoming 30 Days' (1 Interim, 5 Final) and 5 are 'Past Due' (2 Interim, 3 Final).
- Invoices Due to Sponsor:** A total of 7 invoices are listed. 4 are 'Upcoming 30 Days' (2 Interim, 2 Final) and 5 are 'Past Due' (2 Interim, 3 Final).
- Pending Response - Under Dev:** A total of 4 items are listed: 2 'NCE/Amendment' and 2 'Carry Forward Approval'.
- Pending Y/N Action - Under Dev:** A total of 22 items are listed: 10 'EFM' and 12 'Dept'.


Campus Deployment



- Workload management for team/department managers

My Funds Fund Details Deliverables Administration

Fund Search Worklist

Search For Funds

 FILTERS

Fund #: <input type="text"/>	UCLA PATS No: <input type="text"/>	Responsible For: <input type="checkbox"/> Action Required Only <input type="text" value="HWANG, RUTH"/>
Type: <input type="text" value="ALL"/>	Category: <input type="text" value="ALL"/>	Status: <input type="text" value="ALL"/>
Organization: <input type="text"/>		From Due Date: <input type="text"/> 
Division: <input type="text"/>		Due Date To: <input type="text"/> 
Department Code: <input type="text"/>		Home PI: <input type="checkbox"/> Missing Managing PI Only <input type="text"/>
		Sponsor Name: <input type="text"/>
		Sponsor Award #: <input type="text"/>

Campus Deployment

- Access to financial reports and invoices, correspondence, and historical information in a single integrated system

My Funds Fund Details Deliverables Administration

Deliverable List

Deliverable List

Get Fund : 22222 Fund No: 22222 PI: Lee, Jessica
 Sponsor: NH Award: 5P30 CA09335-01

Report and Invoice Schedules

	Deliverable Schedule	Edit Schedule	Due Date	Invoice #	Category	Type	Template	Frequency	Owner	Status	Submitted Date	Report Figures	Approved Deliverable	Edit Status	Delete Deliverable
	1	<input type="button" value="Edit"/>	07/31/2011	1	Invoice	Interim	UCLA Private Invoice	Monthly	A.Tran	Pending Signatures	07/25/2011	Not Evaluated		<input type="button" value="Edit"/>	
	1	<input type="button" value="Edit"/>	08/30/2011	2	Invoice	Interim	UCLA Private Invoice	Monthly	A.Tran	Deliverable In Process	08/24/2011	Not Evaluated		<input type="button" value="Edit"/>	<input type="button" value="Remove"/>
	1	<input type="button" value="Edit"/>	09/30/2011	3	Invoice	Interim	UCLA Private Invoice	Monthly	A.Tran	Pending NCE	09/25/2011	Not Evaluated		<input type="button" value="Edit"/>	
	1	<input type="button" value="Edit"/>	10/31/2011	4	Invoice	Interim	UCLA Private Invoice	Monthly	A.Tran	Pending NCE	10/24/2011	Not Evaluated		<input type="button" value="Edit"/>	
	1	<input type="button" value="Edit"/>	11/30/2011		Financial Report	Interim	UCLA Private Invoice	Monthly	A.Tran	Pending NCE	11/25/2011	Not Evaluated		<input type="button" value="Edit"/>	
	1	<input type="button" value="Edit"/>	12/31/2011	6	Invoice Milestone	Interim	UCLA Private Invoice	Monthly	12345678901234567890 ...	Deliverable Not Started	12/24/2012	Not Evaluated		<input type="button" value="Edit"/>	<input type="button" value="Remove"/>
	1	<input type="button" value="Edit"/>	01/31/2012	7	Invoice	Not Final	UCLA Private Invoice	Monthly	A.Tran	COP In Process	01/25/2012	Not Evaluated		<input type="button" value="Edit"/>	
	1	<input type="button" value="Edit"/>	05/31/2012	8	Invoice Milestone	Interim	UCLA Private Invoice	Monthly	A.Tran	COP In Process	12/24/2012	Not Evaluated		<input type="button" value="Edit"/>	
	1	<input type="button" value="Edit"/>	12/31/2012	9	Invoice Milestone	Not Final	UCLA Private Invoice	Monthly	A.Tran	Deliverable Not Started	01/25/2012	Not Evaluated		<input type="button" value="Edit"/>	<input type="button" value="Remove"/>
	2	<input type="button" value="Edit"/>	03/31/2012	10	Invoice	Final	UCLA Private Invoice	Once	A.Tran	Pending NCE	03/28/2012	Not Evaluated		<input type="button" value="Edit"/>	
	2	<input type="button" value="Edit"/>	05/31/2012		ARRA Quarterly	Final	UCLA Private Invoice	Once	A.Tran	Pending Signatures	03/28/2012	Not Evaluated		<input type="button" value="Edit"/>	
	2	<input type="button" value="Edit"/>	07/31/2012		ARRA Quarterly	Final	UCLA Private Invoice	Once	A.Tran	Deliverable In Process	03/28/2012	Not Evaluated		<input type="button" value="Edit"/>	
	2	<input type="button" value="Edit"/>	08/31/2012		Auto Payment	Final	UCLA Private Invoice	Once	A.Tran	Pending NCE	03/28/2012	Not Evaluated		<input type="button" value="Edit"/>	
	2	<input type="button" value="Edit"/>	10/31/2012		Auto Payment	Final	UCLA Private Invoice	Once	A.Tran	Pending NCE	03/28/2012	Not Evaluated		<input type="button" value="Edit"/>	

Campus Deployment

- Next Steps
 - PAMS Help will contact departments when it is time to gain access
 - Fill out Access Form
 - Take training

PAMS Help

Questions or Feedback?

Contact PAMS Help!

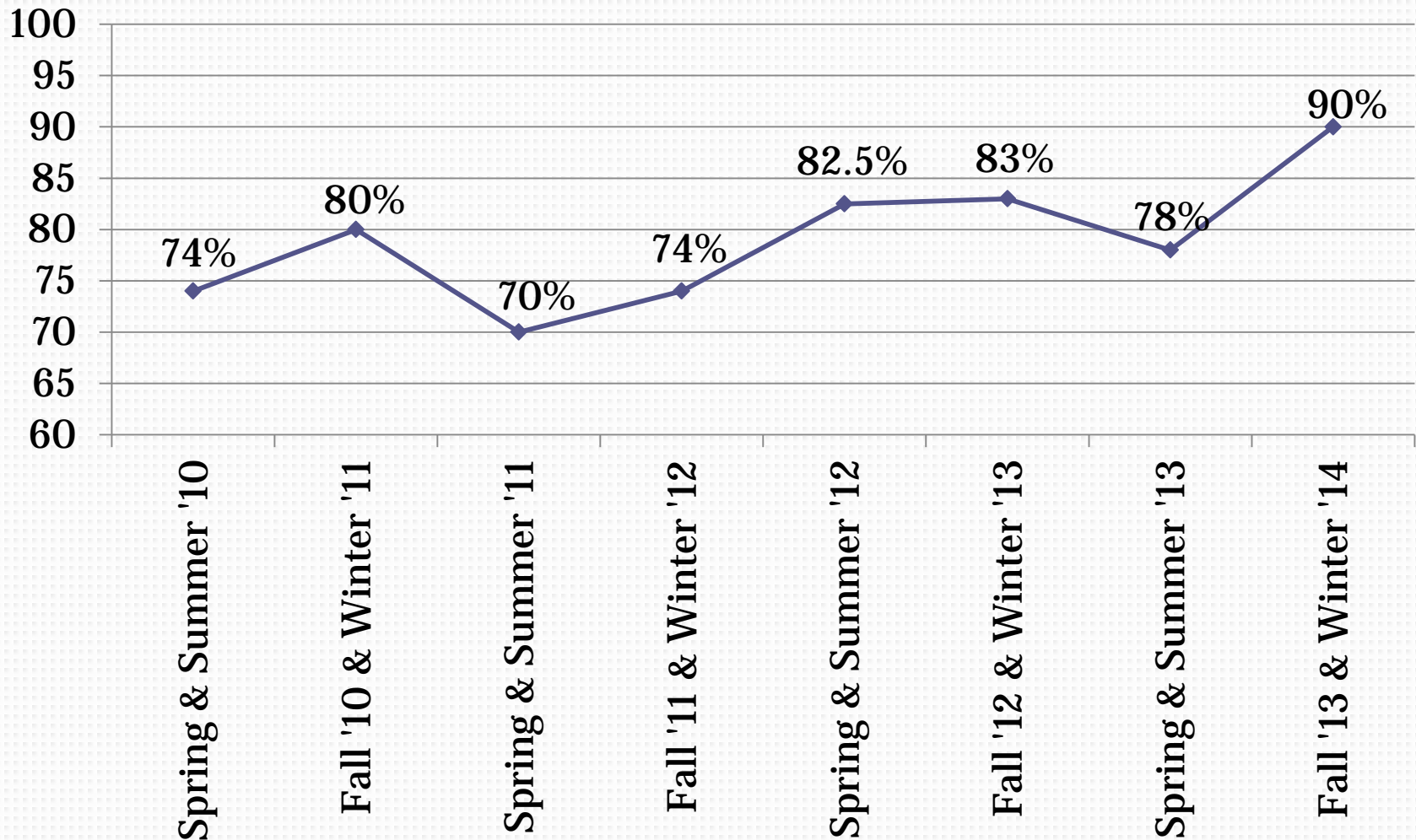
- (310) 794-0008
- PAMSHelp@research.ucla.edu

ERS Updates

Effort Reporting Statistics

- As of 9/10/14
 - UCLA's Campus Rate (Spring'06 - Winter'14)
 - **99% Certified**
 - Fall 2013 & Winter 2014 deadline, 7/29/14:
 - On-Time Rate - 90%
 - Fall 2013 and Winter 2014 to date:
 - Current Rate – 92.5%

Historical On Time Certification Rates



Effort Reporting Crusade

11/14/13

	Fall			Winter			Spring			Summer		
Academic Year	Cert Rate	Cert #	Open #	Cert Rate	Cert #	Open #	Cert Rate	Cert #	Open #	Cert Rate	Cert #	Open #
2005-2006	N/A	N/A	N/A	N/A	N/A	N/A	99%	6680	2	99%	6981	3
2006-2007	99%	6110	1	99%	6048	4	99%	6193	2	99%	6570	5
2007-2008	99%	5825	3	100%	5873	0	99%	5993	4	99%	6491	5
2008-2009	99%	5826	6	99%	5873	6	99%	6126	4	99%	7395	10
2009-2010	99%	6477	7	99%	6718	10	99%	6905	17	99%	7736	23
2010-2011	99%	6524	23	99%	6560	35	98%	6665	82	98%	6996	91
2011-2012	98%	6121	93	98%	6173	115	96%	6055	221	96%	6312	245
2012-2013	92%	5308	458	91%	5367	482	10%	579	5160	9%	542	5359

55 Open

12,421 Open

9/10/14

	Fall			Winter			Spring			Summer		
Academic Year	Cert Rate	Cert #	Open #	Cert Rate	Cert #	Open #	Cert Rate	Cert #	Open #	Cert Rate	Cert #	Open #
2005-2006	N/A	N/A	N/A	N/A	N/A	N/A	100%	6682	0	100%	6984	0
2006-2007	100%	6111	0	100%	6052	0	100%	6195	0	100%	6575	0
2007-2008	100%	5829	0	100%	5874	0	100%	5998	0	100%	6495	0
2008-2009	100%	5832	0	100%	5879	0	100%	6130	0	100%	7406	0
2009-2010	99%	6484	1	99%	6728	5	99%	6914	9	99%	7750	12
2010-2011	99%	6537	12	99%	6578	19	99%	6705	43	99%	7041	48
2011-2012	99%	6174	42	99%	6236	52	98%	6197	86	98%	6467	100
2012-2013	97%	5637	138	97%	5719	136	97%	5660	156	96%	5906	201
2013-2014	93%	5014	348	92%	4905	407	N/A	N/A	N/A	N/A	N/A	N/A

0 Open

1,060 Open

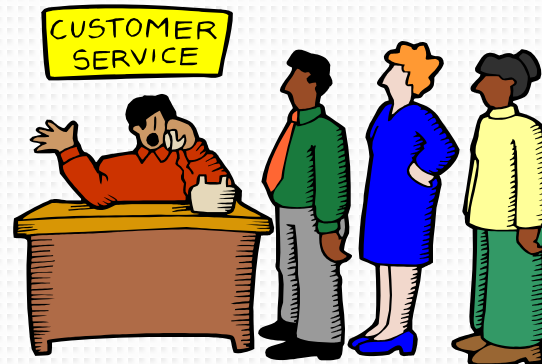
ERS Announcements

- Spring and Summer 2014 reports will be released in Mid-October 2014.

- ERS Training Course
 - Date: Wednesday, October 29th
 - Time: 9:00 a.m. - 3:00 p.m.
 - Place: Wilshire Center, 10th Floor
 - (Sign-up through LMS)

ERS Support

- Outreach Support
- Help Desk: ershelp@research.ucla.edu
 - Connie Brown
 - Leticia Calderon



Q&A



“SEE YOU AT 100%!!!”

