



# OCGA Updates

**Patti Manheim - Director**

**February 13, 2014**

# Today's Topics

- NIH Fiscal Operations for FY 2014
- Updates from the January 2014 FDP Meeting
- Other OCGA Updates

# NIH Fiscal Operations for FY2014

- NIH Budget \$30.15 billion
  - Increase of \$1 billion over FY2013
- FY2014 Non-competing continuations
  - Awards already issued at reduced levels, may be partially or fully restored, depending on the institute/center
  - Awards to be issued may be reduced up to 3% below previously committed levels
  - Out year commitments for FY2015 and beyond remain unchanged
  - Institutes and Centers will be posting their fiscal policies
- See [NOT-OD-14-055](#)

# NIH Fiscal Operations for FY2014

- FY2014 NRSA Awards
  - Stipend levels increased by 2%
  - 2014 awards that were issued at 2012 level will be revised to adjust stipends to 2014 levels
  - Appointments made at 2012 levels will need to be amended in xTrain once the award adjustment is made
  - See [NOT-OD-14-055](#) and [NOT-OD-14-046](#)

# Federal Demonstration Partnership (FDP) Updates

## Federal Agency Updates – NIH

- Still operating under continuing resolution.
- Salary cap increase, effective 1/12/14
- For FY14 (October 1, 2014 – September 30, 2015)
  - Salary earned: 10/1/13 – 1/11/14 capped at \$179,700
  - Salary earned: 1/12/14 – 9/30/14 capped at \$181,500
- See [NOT-OD-12-043](#)

# Federal Demonstration Partnership (FDP) Updates

## Federal Agency Updates – NIH

- Payment Management System Subaccounts:
  - FY14 – Transition of all awards with new document numbers (Types 1, 2, 4, 6, 7, and 9)
  - FY15 – transition of continuing awards (Types 5 & 8)
  - Administrative supplements will be awarded to the same type of account (subaccount or pooled) as the parent award
  - Carryover authority will not change

# Federal Demonstration Partnership (FDP) Updates

## Federal Agency Updates – NIH

- [Grants Policy Statement](#) revised 10/01/13
  - Does not introduce any *new* material.
  - Clarifies certain policies.
  - See [NOT-OD-14-001](#)
- New HHS Closeout Requirements
  - Proposed implementation date: 10/01/14
  - No change to 90 day requirement to submit closeout documents
  - New
    - Revised financial reports no later than 6 months from due date of original report
    - 270 requirement for agency to complete entire closeout process
  - Stay Tuned for Further Updates!

# Federal Demonstration Partnership (FDP) Updates

## Federal Agency Updates – NIH

- RPPR for non-SNAP Awards:
  - Pilot ended February 1, 2014
  - Anticipate required use for all grantees August 1, 2014
- RPPR for Multi-Year Funded Awards:
  - Required for progress reports submitted on/after January 31, 2014
  - See [NOT-OD-14-026](#)



# Federal Demonstration Partnership (FDP) Updates

## Federal Agency Updates – NIH

- Effective with due dates on/after January 25, 2014 F, K, T, and D applications require use of **Adobe Forms C**.
  - If prepared in S2S Grants using Adobe Forms B, use Copy/Transform functionality

# Federal Demonstration Partnership (FDP) Updates

## Federal Agency Updates – NSF

- New Proposal and Awards Policies and Procedures Guide (PAPPG)
  - Released November 2013
  - Effective for proposals submitted/due on/after February 24, 2014
- Changes include:
  - Project Summary Formatting
  - Participant Support
  - Guidance on Visa Costs
  - Conferences, Symposia and Workshops
  - Project Reporting – Not Cumulative

# Federal Demonstration Partnership (FDP) Updates

## Federal Agency Updates – NASA

- New Proposer's Guidebook due for release January 31, 2014\*
  - <http://www.hq.nasa.gov/office/procurement/nraguidebook/>

\*still waiting

# Federal Demonstration Partnership (FDP) Updates

- Meeting Agendas and Presentations available at:  
[http://sites.nationalacademies.org/PGA/fdp/PGA\\_051651](http://sites.nationalacademies.org/PGA/fdp/PGA_051651)
- UCLA FDP participants:
  - Kim Duiker [kduiker@research.ucla.edu](mailto:kduiker@research.ucla.edu)
  - Sharon Lam [slam@research.ucla.edu](mailto:slam@research.ucla.edu)
  - Cindy Gilbert [cgilbert@research.ucla.edu](mailto:cgilbert@research.ucla.edu)

# EPASS – Section 2

## 2. Department or Organized Research Unit (ORU)

Administering Department Name:  FS Code (Dept. Code):

Account #:  Cost Center:  Recharge ID:

Dept. Contact Name:  Extension:  Email Address:

Affiliated with Center/ORU:

If "Other Center/Institute" is selected above, please specify name, or if multiple Center(s)/Institute(s) please add additional selection(s) here:

- California Nanosystems Institute (CNSI)
- Clinical & Translational Science Institute (CTSI)
- Ctr for Embedded Networked Sensing (CENS)
- Ctr for Nanoscale Innovation for Defense (CNID)
- Ctr for Scalable & Integrated Manufacturing (SINAM)
- Ctr on Functional Engineered Architectonics (FENA)
- Institute for Digital Research & Education (IDRE)
- Institute for Pure and Applied Mathematics (IPAM)
- Joint Inst. for Reg Earth Sys Sci & Eng (JIFRESSE)

## 3. Proposal Identification

Proposal Title:

Project Begin Date:

## Department or Organized Research Unit (ORU)

Administering Department Name:  FS Code (Dept. Code):

Account #:  Cost Center:  Recharge ID:

Dept. Contact Name:  Extension:  Email Address:

Affiliated with Center/ORU:

If "Other Center/Institute" is selected above, please specify name, or if multiple Center(s)/Institute(s) please add additional selection(s) here:

# EPASS – Section 6

Enter IRB # or "Pending"

<input type="checkbox"/>	<input type="checkbox"/>	Human Subjects? If yes, indicate IRB#: <input type="text"/>	Delayed Onset <input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	Are study related patient care costs to be billed to the award OR to a third party payor (i.e. medical insurance/Medicare)? If yes, then a Policy 915 Coverage Analysis is required (refer to <a href="http://www.clinicaltrials.ucla.edu">www.clinicaltrials.ucla.edu</a> ).	
<input type="checkbox"/>	<input type="checkbox"/>	Animal Subjects? If yes, indicate ARC#: <input type="text"/>	Delayed Onset <input type="checkbox"/>

OR check if the proposed use of human/animal subjects is to be defined after award as part of the proposed scope of work.

# NIH Modular Budgets

- OCGA will not
  - ask for,
  - look for, or
  - reviewdetailed budgets for NIH modular applications.
- While detailed budgets are not required by NIH they are important to have when calculating F&A cost base, writing justifications, and for audit purposes
- PIs/Departments are required to ensure that all costs charged are in accordance with
  - applicable cost principles
  - NIH Grants Policy Statement
  - legislatively imposed restrictions (e.g., salary cap)

# Why did OCGA Deny my RAS?

- Requests for Authorization to Spend (RAS) are processed in accordance with [UCLA Policy 920](#)
- AVC Marcia Smith is currently reviewing the policy



# Why did OCGA Deny my RAS?

- The same issues that prevent OCGA from accepting an award will prevent OCGA from approving a RAS
  - Human/animal subjects without IRB/ARC approval
  - COI disclosure and/or reporting requirements not met (EDGE, 740, 700)
  - Sponsor imposed restrictions on spending prior to full execution of agreement
  - No Coverage Analysis
  - Missing/Incomplete EPASS
  - Missing PI Exception
  - Lack of Vital Interest Waiver

# Staffing Updates

- Records Management
  - Yushan Lin - Specialist
- Proposal Intake
  - Jennifer Caldejon – Analyst
  - Gerald Gamble – Specialist
  - Magaly Chavez – Specialist
- Award Intake
  - Jaimie To – Specialist
- Outgoing Subawards
  - Elizabeth Serrano – Work Study

# Staffing Updates

- Grants and Cooperative Agreements
  - EnJunaya Canton – Senior Analyst
  - Ghazaleh Nourparvar – Specialist
  - Jenelle Bossett – Specialist
- Subawards and Contracts
  - Kristin Lund – Officer
  - Johnny Kidd – Officer (beginning March 3)
  - Jeffrey Alonzo - Specialist

# QUESTIONS